# GAMMA-RAY BURSTS AND THE EARTH: EXPLORATION OF ATMOSPHERIC, BIOLOGICAL, CLIMATIC, AND BIOGEOCHEMICAL EFFECTS

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#### **ABSTRACT**

Gamma-ray bursts (GRBs) are likely to have made a number of significant impacts on the Earth during the last billion years. The gamma radiation from a burst within a few kiloparsecs would quickly deplete much of the Earth's protective ozone layer, allowing an increase in solar UVB radiation reaching the surface. This radiation is harmful to life, damaging DNA and causing sunburn. In addition, NO<sub>2</sub> produced in the atmosphere would cause a decrease in visible sunlight reaching the surface and could cause global cooling. Nitric acid rain could stress portions of the biosphere, but the increased nitrate deposition could be helpful to land plants. We have used a two-dimensional atmospheric model to investigate the effects on the Earth's atmosphere of GRBs delivering a range of fluences, at various latitudes, at the equinoxes and solstices, and at different times of day. We have estimated DNA damage levels caused by increased solar UVB radiation, reduction in solar visible light due to NO<sub>2</sub> opacity, and deposition of nitrates through rainout of HNO<sub>3</sub>. For the "typical" nearest burst in the last billion years, we find globally averaged ozone depletion up to 38%. Localized depletion reaches as much as 74%. Significant global depletion (at least 10%) persists up to about 7 yr after the burst. Our results depend strongly on time of year and latitude over which the burst occurs. The impact scales with the total fluence of the GRB at the Earth but is insensitive to the time of day of the burst and its duration (1-1000 s). We find DNA damage of up to 16 times the normal annual global average, well above lethal levels for simple life forms such as phytoplankton. The greatest damage occurs at mid- to low latitudes. We find reductions in visible sunlight of a few percent, primarily in the polar regions. Nitrate deposition similar to or slightly greater than that currently caused by lightning is also observed, lasting several years. We discuss how these results support the hypothesis that the Late Ordovician mass extinction may have been initiated by a GRB.

Subject headings: astrobiology — gamma rays: bursts

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## 1. INTRODUCTION

Knowledge of the effects of gamma-ray bursts (GRBs) on the Earth and life is important for establishing where and when life is likely to exist in our own Galaxy and others. Concepts such as the galactic habitable zone (Gonzalez et al. 2001) depend on information about the rate and distance of effectiveness of GRBs, along with other transient radiation events such as supernovae (SNe). In addition, GRBs may have played a role in mass extinctions in the Earth's history (e.g., Melott et al. 2004 and references therein).

As discussed in Melott et al. (2004) and Dermer & Holmes (2005), it is likely that a GRB has occurred at least once in the last billion years close enough to have dramatic effects on stratospheric ozone, leading to detrimental effects on life through increases in solar ultraviolet (UV) radiation, which is strongly absorbed by ozone.

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<sup>2</sup> Current address: Department of Physics and Astronomy, Washburn University, 1700 College, Stoffer Science Hall, Topeka, KS 66621; brian.thomas@washburn.edu.

<sup>3</sup> Laboratory for Atmospheres, NASA Goddard Space Flight Center, Code 613.3, Greenbelt, MD 20771; jackman@assess.gsfc.nasa.gov, stolar@polska.gsfc.nasa.gov.

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<sup>5</sup> Laboratory for Astroparticle Physics, NASA Goddard Space Flight Center, Code 661, Greenbelt, MD 20771; gehrels@milkyway.gsfc.nasa.gov, cannizzo@ milkyway.gsfc.nasa.gov. In Thomas et al. (2005) we presented results of an initial set of parameters modeling the impact of a GRB on the Earth's atmosphere and subsequent effects on life. In this study we present further results for various parameter values. In particular, we vary incident fluence, time of year of the burst, impact latitude, time of day, and duration of the burst.

## 2. METHODS

## 2.1. Atmospheric Model

Our modeling of the atmospheric effects of a GRB was performed using the Goddard Space Flight Center (GSFC) two-dimensional atmospheric model, which was also used in the study reported in Thomas et al. (2005). We briefly describe the model here and give a more detailed description in the Appendix.

The model's two spatial dimensions are altitude and latitude. The latitude range is divided into 18 equal bands and extends from pole to pole. The altitude range includes 58 evenly spaced logarithmic pressure levels (approximately 2 km spacing) from the ground to approximately 116 km. A lookup table is used for computation of photolytic source term, used in calculations of photodissociation rates of atmospheric constituents by sunlight (Jackman et al. 1996). Winds and small-scale mixing are included as described in Fleming et al. (1999) (see § A2).

We have employed two versions of the atmospheric model. One is intended for long-term runs (many years) and includes all transport mechanisms (e.g., winds and diffusion); it has a time step of 1 day and computes daily averaged constituent values. The second is used for short-term runs (a few days) and calculates constituent values throughout the day and night but does not include

full transport. Previously, this version has been used with a time step of 225 s (Jackman et al. 2001). In the current study we have used a time step of 1 s in order to allow for inputting our GRB ionization over several time steps and to properly account for chemistry during and after the burst (see also  $\S$  A3).

We have not included the effects of any ultra-high-energy (>10<sup>18</sup> eV) cosmic rays from a GRB (Dermer & Atoyan 2004; Waxman 2004a, 2004b; Dermer & Holmes 2005), due to uncertainty as to whether and at what energies GRBs may produce such particles.

#### 2.2. GRB Ionization Rate Profiles

Although there is much variation among GRBs, estimates of the typical isotropic equivalent power of low-redshift GRBs range from  $4.4 \times 10^{44}$  (Guetta et al. 2005) to  $6 \times 10^{44}$  W (Lloyd-Ronning et al. 2002). Therefore, for the purposes of this study, we assume a "standard" burst with power  $5 \times 10^{44}$  W (isotropic equivalent). Burst durations (considering only "long" GRBs) range from 1.66 to 278 s (Preece et al. 2000). We assume for this study a standard burst duration of 10 s. We also investigate bursts of 1, 100, and 1000 s duration. We do not consider short bursts due to the lack of data on rate, luminosity, distance, etc.

In Melott et al. (2004) we estimated conservatively that the probable nearest burst pointed at the Earth in the last billion years is approximately 2 kpc. For our standard burst this corresponds to a fluence of 100 kJ m<sup>-2</sup>. Dermer & Holmes (2005) estimated this distance to be 1 kpc. Our estimate of the nearest probable burst distance in the last billion years is arrived at by scaling from the universal observed rate (as of 2003), taking into account the universal star formation rate, and using the blue luminosity surface density of the Galaxy. We then use the fluence from our standard burst at this distance to compute atmospheric and other effects.

Ionization rate profiles due to a GRB are input to the model as production sources of  $NO_y$  and  $HO_x$ . It is assumed that for each ion-electron pair produced, 1.25  $NO_y$  molecules are produced at all pressure levels (Porter et al. 1976) and 2.0 molecules of  $HO_x$  are produced below 75 km and less than 2.0 (from 1.99 to 0.0) for altitudes greater than 75 km (Solomon et al. 1981).

The ionization rate profiles are calculated separately from the atmospheric model, following the method used in Gehrels et al. (2003). This section draws on that publication, as well as an unpublished manuscript by Claude Laird. In the Gehrels et al. (2003) study, gamma rays were included using the spectrum of SN 1987A. In the present study, the gamma-ray differential photon count spectrum used is that of Band et al. (1993), which consists of two smoothly connected power laws:

$$\frac{dN(E)}{dE} = \begin{cases} A\left(\frac{E}{100 \text{ keV}}\right)^{\alpha} \exp\left(\frac{-E}{E_0}\right), & E \leq (\alpha - \beta)E_0, \\ A\left[\frac{(\alpha - \beta)E_0}{100 \text{ keV}}\right]^{\alpha - \beta} \\ \times \exp(\beta - \alpha)\left(\frac{E}{100 \text{ keV}}\right)^{\beta}, & E \geq (\alpha - \beta)E_0, \end{cases}$$

where we take typical values  $E_0 = 187.5$  keV,  $\alpha = -0.8$ ,  $\beta = -2.3$  (Preece et al. 2000). We have not taken variability of these quantities during the burst into account. The flux constant, A, is used to scale the total received energy to our desired value (in this study, corresponding to fluences of 10 kJ m<sup>-2</sup>, 100 kJ m<sup>-2</sup>, and 1 MJ m<sup>-2</sup>).

The total photon flux in each of 66 evenly spaced logarithmic energy bins, in the range 0.001 MeV  $\leq E \leq$  10 MeV, is obtained by integrating the above spectrum for each bin. The high- and low-energy regions of the spectrum are integrated separately; the  $E \geq (\alpha - \beta)E_0$  region is integrated analytically and the  $E \leq (\alpha - \beta)E_0$  energy region is numerically integrated using the qsimp routine from Numerical Recipes (Press et al. 1992).

The total incident energy flux in a monoenergetic beam at the top of the atmosphere is  $F_i^0 = N_i^0 \langle E_i \rangle$ , where  $N_i^0$  is the incident photon flux (calculated from the Band spectrum as described above). The photon flux is propagated vertically through a standard atmosphere (adjusted for the appropriate latitude and time when input to the atmospheric model) and is attenuated with altitude by an exponential decay law, with frequency-dependent absorption coefficients taken from a lookup table (Plechaty et al. 1981).

The photon flux for the *i*th energy bin that remains at the *j*th altitude layer is given by  $N_{i,j} = N_i^0 e^{-\mu_i x_j}$ , where  $x_j$  is the column density (in g cm<sup>-2</sup>) measured from the top of the atmosphere and  $\mu_i$  is the absorption coefficient (from Plechaty et al. 1981). The photon flux deposited in the *j*th layer with energy  $\langle E_i \rangle$  is  $\Delta N_{i,j} = N_{i,j-1} - N_{i,j}$ . The corresponding energy flux deposited is  $F_{i,j} = \Delta N_{i,j} \langle E_i \rangle$  (in MeV cm<sup>-2</sup> s<sup>-1</sup>). The total ionization rate is the sum over all energies:

$$q_{\text{tot},j} = \frac{1}{35 \text{ eV}} \sum_{i=1}^{66} \frac{F_{i,j}}{\Delta Z_j},$$
 (2)

where 35 eV is the energy needed to produce one ion pair (Porter et al. 1976) and  $\Delta Z_i$  is the thickness of the *j*th altitude layer.

The vertical ionization rate profiles are mapped onto an altitude-latitude grid for use by the atmospheric model as follows. First, a series of ionization rate profiles as functions of altitude and latitude are calculated for a flat Earth for incidence angles of  $5^{\circ}$ – $85^{\circ}$  from zenith, corresponding to latitude bands  $10^{\circ}$  wide centered on  $85^{\circ}-5^{\circ}$ , respectively, for the hemisphere illuminated by the GRB at zenith point N90°. These profiles are then interpolated and the zenith frame latitudes are transformed into standard Earth coordinates (longitude and latitude) according to the impact latitude chosen for the event. Finally, a zonally averaged profile (as a function of altitude and latitude) is produced by averaging over all longitudes for each  $10^{\circ}$  latitude band. This profile is then input to the atmospheric model as a production source of  $NO_y$  and  $HO_x$ , as described above.

#### 2.3. Simulation Runs

Our simulation runs are performed as follows. Initial conditions are obtained from long-term runs (roughly 40 yr) intended to bring the model to equilibrium. These runs end at the various times of year at which we input the GRB. Constituent values from these equilibrium runs are read in by the 1 s time step version of the model, which runs for 7 days, beginning and ending at noon. Ionization due to the gamma rays (as calculated offline; see § 2.2) is input as a step function (top hat) with duration 10 s. Most runs input the burst at noon on day 4. Bursts at other times of day occur after this. We use day 4 as the input point in order to allow the short-term model to come to equilibrium. This is necessary as the two versions have different timescales for chemistry (1 s vs. daily averages) and differences in transport. The run then continues for 3 more days to allow for good time resolution of the chemistry directly following the burst. Running for much longer than 7 days would introduce errors due to the limited transport in the shortterm model. Hence, at the end of 7 days we then feed constituent

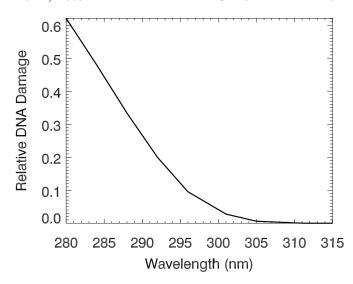


Fig. 1.—Biological weighting function of Setlow (1974) and Smith et al. (1980), quantifying damage to DNA by UVB.

values to the long-term (1 day time step) version of the model, which runs for 20 yr, by which time the atmosphere has recovered from the GRB perturbation. Runs are also performed using the same steps without input of GRB ionization, in order to make comparisons between perturbed and unperturbed runs (e.g., O<sub>3</sub> percent change).

## 2.4. Biological Effects

In order to quantify some of the effects on life due to a GRB impact, we have computed the direct effect of the increased solar UVB (due to depletion of ozone) by determining the irradiance in this wave band at the surface of the Earth and convolving it with a biological weighting function that describes the effectiveness of particular wavelengths in damaging DNA molecules.

In order to determine the solar UVB reaching the surface, we take the irradiance at the top of the atmosphere (obtained from data taken by the SUSIM ATLAS instrument<sup>6</sup>) and determine the irradiance at the surface (for a given wavelength band) using the Beer-Lambert law,

$$I = I_0 e^{-\sigma(\lambda)N/\cos\theta},\tag{3}$$

where  $\sigma(\lambda)$  is the absorption cross section of ozone as a function of wavelength,  $\lambda$  (e.g., Yoshino et al. 1988), N is the vertical column density of ozone (taken from our modeling results), and  $\theta$  is the solar zenith angle (calculated for a particular latitude, day of year, and time of day; Madronich 1993). Only ozone absorption effects are included in this calculation since the effect of scattering at these wavelengths is comparatively small (see § 4.5). The surface irradiance is then multiplied by the value of the biological weighting function (Setlow 1974; Smith et al. 1980; see Fig. 1) for that wavelength band, and this combined DNA damage value is then integrated over the entire wavelength range. This value is then scaled by  $\cos\theta$  to account for insolation. The above calculation is done for each hour and then averaged over 24 to get a daily average DNA damage value for a given latitude and time point.

#### 3. RESULTS

The primary atmospheric results of our simulations are increases in NO<sub>v</sub> and decreases in O<sub>3</sub>. Ozone column densities com-

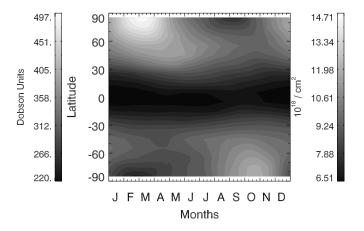


Fig. 2.—Annual variation of the column density of  $O_3$  in an unperturbed run, with scales for both Dobson units (*left*) and  $10^{18}$  cm<sup>-2</sup> (*right*). The horizontal axis labels give month initials starting in January. [See the electronic edition of the Journal for a color version of this figure.]

puted in the model are then used to calculate the UVB flux at the Earth's surface and the resulting DNA damage. For purposes of reference and validation of our model's ability to correctly simulate normal ozone variations, we show in Figure 2 a plot of  $O_3$  column density for the unperturbed atmosphere as a function of latitude and time, over 1 yr, starting in January. Units shown are both Dobson units and  $10^{18}$  cm $^{-2}$ . A Dobson unit describes the thickness of a column of ozone at standard temperature and pressure (STP) and is defined as 1 DU = 0.01 mm thickness (or 1 DU =  $2.69 \times 10^{18}$  cm $^{-2}$ ). Chlorofluorocarbons (CFCs) and other anthropogenic constituents are not included in our runs (see the Appendix), so the values and distribution of  $O_3$  in this plot differ somewhat from those in the present-day atmosphere. Anthropogenic constituents are excluded because we are primarily interested in applying our results to preindustrial time periods.

Results for an initial set of parameters were reported in Thomas et al. (2005). We repeat those results here, in the context of a wider exploration of parameters. First, we discuss the effects of varying the time of year and the latitude at which the burst occurs for a given fluence ( $\S$  3.2). Then, we discuss the effects of the burst occurring at different times of day and with various durations, for a given fluence ( $\S$  3.3). Next, we discuss the effects of varying the burst fluence ( $\S$  3.4). We also present results quantifying the impact of reduced  $O_3$  levels on life ( $\S$  3.5). Finally, we discuss the reduction of sunlight due to increased  $NO_2$  levels and the deposition of nitrates due to rainout of  $HNO_3$  ( $\S$  3.6). Note that most figures presented here are available in color in the online version of the paper.

## 3.1. The Importance of Sunlight

As seen throughout the discussion that follows, the presence or absence of sunlight at a given location and time has important implications for our atmospheric results. This is due to photolytic reactions involving  $O_3$  and constituents of  $NO_y$ , most importantly NO and  $NO_2$ .

The primary creation mechanism for  $O_3$  is by dissociation of  $O_2$  by solar UV at wavelengths below 242 nm. Higher wavelengths dissociate  $O_3$ , which is why ozone provides an effective shield at these wavelengths. A consequence of the photoproduction of  $O_3$  is that ozone is primarily created in the tropics, where sunlight is most constant and intense. Of course, transport in the upper atmosphere is primarily from the tropics to the poles, and so ozone is moved to the poles after being produced near the

<sup>&</sup>lt;sup>6</sup> Available at http://www.solar.nrl.navy.mil/susim.html.

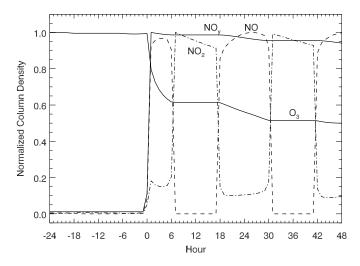


Fig. 3.—Column density of NO, NO<sub>2</sub>, NO<sub>y</sub>, and O<sub>3</sub>, normalized to their maximum values over this time period. These are hourly results from the short-term version of the model for a 100 kJ m<sup>-2</sup> burst over the equator in late March at noon. Sudden changes (particularly in NO and NO<sub>2</sub>) occur at sunrise/sunset. (Burst occurs at time 0, which is local noon.)

equator. Longitudinal transport is quite efficient and only takes a few weeks to circle the globe.

Photolysis is also important for  $NO_y$  constituents and their effects on ozone. NO and  $NO_2$ , the primary ozone-depleting components of  $NO_y$ , are dissociated by sunlight at wavelengths below 191 and 400 nm, respectively. In addition, other  $NO_y$  constituents are photolyzed to produce NO and  $NO_2$ . (See Table 11 for photolysis reactions and Table 12 for solar fluxes included in the model.) Photolysis of NO has two important implications for both  $NO_y$  and  $O_3$ . This reaction yields atomic N, which can reduce NO through the reaction  $N+NO \rightarrow N_2+O$ , thereby decreasing concentrations of  $NO_y$ . In addition, atomic O is produced, which can actually increase  $O_3$  through reaction with  $O_2$ .

The diurnal cycle of NO and  $NO_2$  exhibits an interchange between these compounds. NO concentrations decrease as the Sun sets due to the removal of its photolysis source and its rapid oxidation to  $NO_2$ . During the day, NO is created partly through photolysis of  $NO_2$ , thereby reducing the amount of  $NO_2$  present.  $NO_2$  is more strongly affected by photolysis than  $NO_3$ , since its upper wavelength limit (400 nm) is higher than that for  $NO_3$  (191 nm) and there are more photons present in the solar flux below 400 nm than 191 nm (Table 12).

These interchanges can be seen in Figure 3, where we plot column densities of several constituents at  $-5^{\circ}$  latitude, normalized to their maximum values over this time period. These data are for a  $100 \text{ kJ m}^{-2}$  burst over the equator in late March at noon. Time 0 indicates the burst input. It is apparent from this plot that the burst causes an immediate increase in the concentration of  $NO_y$  (primarily through NO). After the burst, normal diurnal processes dominate the relative amounts of these compounds at any given time, although at much higher levels than normal, and with the addition of the long-term depletion of  $O_3$ .

The effects of sunlight on NO, NO<sub>2</sub>, and O<sub>3</sub> are important for understanding many features of our results, and we refer to them throughout the following discussion.

#### 3.2. Varying Time of Year and Latitude

We now discuss results similar to those presented in Thomas et al. (2005) for bursts occurring at the equinoxes and solstices, over five different latitudes. Figures 4, 5, 6, and 7 show results for bursts of fluence 100 kJ m<sup>-2</sup> input in late March (repeating

the results from Thomas et al. 2005), June, September, and December, at latitudes  $+90^{\circ}$ ,  $+45^{\circ}$ ,  $0^{\circ}$  (equator),  $-45^{\circ}$ , and  $-90^{\circ}$ . For all these cases the burst is input at noon. In each plot, the burst is input at time 0.

Figure 4 shows the vertical column density of NO<sub>v</sub>, in units of  $10^{16}$  cm<sup>-2</sup>, at each latitude point in the model over time for each combination of event time of year and latitude. Similarly, Figure 5 shows the vertical column density of O<sub>3</sub>. Included are scales in both Dobson units and  $10^{18}$  cm<sup>-2</sup>. Figure 6 shows the percent difference at a given location and time (between a run with gamma-ray input and one without) in vertical column density of O<sub>3</sub>. (Note that in this plot white indicates values equal to or greater than 0.0. The "wedges" of white that appear in the polar regions in some frames are the only areas of  $O_3$  increase. This is discussed further below.) The full long-term duration of the effects can be seen in Figure 7, which shows the global average percent change in ozone as a function of time for 15 yr after the burst (with 1 yr of 0 change before the burst). We summarize the maximum depletion of ozone (globally averaged and at a given latitude) in Tables 1 and 2.

To give a sense of the distribution of atmospheric effects with altitude, we also include Figures 8 and 9, which show pointwise percent difference in  $NO_y$  and  $O_3$  between a run with a burst and one without, at each altitude and latitude at 1 yr after the burst occurs. These plots are for a burst in late March over the equator.

An interesting feature apparent in Figure 9 is that while ozone levels show a decrease at altitudes above about 20 km, they are actually increased at or below this altitude, particularly around the equator. (The white "boundary" starting at about 15 km in the polar regions and moving upward toward the equator separates areas of O<sub>3</sub> decrease at higher altitudes and O<sub>3</sub> increase at lower altitudes.) This production at lower altitudes is due to the following. Normally, ozone is concentrated between 30 and 40 km altitude and is sparse at lower altitudes. However, the burst depletes ozone primarily in this altitude range, which allows solar UV (normally absorbed by the ozone) to penetrate to lower than normal altitudes. This UV then creates ozone (by photolysis of  $O_2$ ) at altitudes where normally there is little or none. (Jackman & McPeters [1985] discuss observation of this effect in the case of a solar proton event.) This effect is particularly strong around the equator, since the intensity of solar UV is greatest there. Therefore, since this plot shows a comparison between a run without a burst (where little ozone exists at lower altitudes) and one with a burst (where UV penetrates more deeply and creates lower altitude ozone), we see an increase at these lower altitudes. This effect actually somewhat mitigates the total column depletion.

Several important features are apparent in Figures 4–7. First, immediate atmospheric effects are seen, i.e., production of  $\mathrm{NO}_y$  and depletion of  $\mathrm{O}_3$ , beginning around the latitude over which the event occurs and spreading quickly to other latitudes. Note that for polar bursts, even in the long term, effects are isolated to the respective hemisphere. Similarly, even for bursts at  $\pm 45^\circ$  the opposite hemisphere experiences much less intense effects. This is due to the fact that transport in the atmosphere is primarily poleward from the equator and transport across the equatorial line is minimal. Therefore,  $\mathrm{NO}_y$  produced in one hemisphere is for the most part not transported to the opposite hemisphere.

Isolation of effects to a hemisphere means that polar and  $\pm 45^{\circ}$  bursts result in smaller globally averaged ozone depletions as compared to bursts over the equator in most cases (see Table 1). This is true even though maximum localized depletions are typically larger for polar bursts (Table 2). Local intensity is understandable in these cases, since most of the  $NO_y$  is produced directly in the polar regions and subsequently is disbursed over a smaller

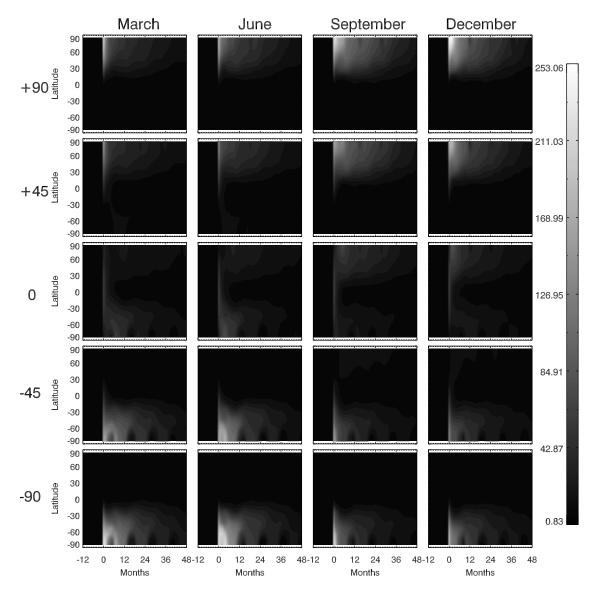


Fig. 4.—Column density of  $NO_y$  in units of  $10^{16}$  cm<sup>-2</sup>, for 100 kJ m<sup>-2</sup> bursts over latitudes  $+90^{\circ}$ ,  $+45^{\circ}$ , the equator,  $-45^{\circ}$ , and  $-90^{\circ}$ , at the equinoxes and solstices. (Bursts occur at month 0.) [See the electronic edition of the Journal for a color version of this figure.]

area. This high concentration contained within the polar region allows a greater effect on ozone.

For all cases, ozone depletion is long lived (Fig. 7). Globally averaged depletions of at least 10% are present up to 5–7 yr and full recovery is not achieved until 10–12 yr after the burst. Recovery occurs at the equator first. This is normal in the terrestrial atmosphere, as ozone is primarily produced in tropical latitudes and transported poleward. Also, as discussed above, NO<sub>y</sub> produced at the equator is moved toward the poles fairly rapidly, so concentrations are significantly reduced at the equator (reducing the depletion of ozone there) within a year or so, even for equatorial bursts.

It is interesting to note that for all the cases discussed so far recovery of ozone takes approximately a decade. Why this time-scale and not some other? The primary controlling factor here is the lifetime of the ozone-depleting NO<sub>y</sub> compounds that are generated by the burst. These compounds are removed from the atmosphere mainly through transport down to the troposphere where they are rained out. Fleming et al. (1999, 2001) compare results of the GSFC model with measurements of the "age of air" as determined from measurements of long-lived gases such as SF<sub>6</sub>

and  $CO_2$ . This age of air at the tropopause is on the order of 5 yr. Only after concentrations of  $NO_y$  in the stratosphere are significantly reduced by this transport process can  $O_3$  levels recover, a process that is also dominated by transport and hence occurs on a similar timescale to the removal of  $NO_y$ . Therefore, the combination of removal of  $NO_y$  and replacement of  $O_3$  results in a total recovery time of approximately a decade.

Greater depletion of ozone in the polar regions is a general feature of these results and is observed in the present-day atmosphere as well. Figure 6 gives a somewhat exaggerated sense of the effect of depletion at the poles because ozone is initially high there (see Figs. 2–5). Larger ozone depletions at the poles are due to the preferential transport of  $NO_y$  poleward, combined with the long lifetime of the enhanced  $NO_y$  in the polar stratosphere. This long lifetime is primarily due to lower photolysis rates at high latitudes (from low solar incidence angle and dark polar winters; see  $\S$  3.1).

The enhanced NO<sub>y</sub> in the polar regions, including HNO<sub>3</sub>, leads to an enhancement of nitric acid trihydrate (NAT) polar stratospheric clouds (PSCs). These NAT PSCs facilitate heterogeneous reactions that result in greater ozone depletion by halogen (chlorine

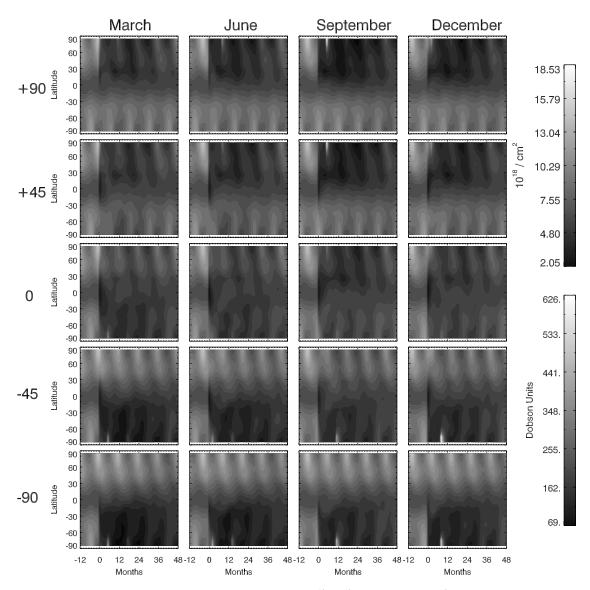


Fig. 5.—Column density of  $O_3$  with scales for both Dobson units (bottom) and  $10^{18}$  cm<sup>-2</sup> (top), for 100 kJ m<sup>-2</sup> bursts over latitudes  $+90^{\circ}$ ,  $+45^{\circ}$ , the equator,  $-45^{\circ}$ , and  $-90^{\circ}$ , at the equinoxes and solstices. (Bursts occur at month 0.) [See the electronic edition of the Journal for a color version of this figure.]

and bromine) constituents. The contribution of PSCs is especially strong in the south polar region where a stronger polar vortex with colder stratospheric temperatures is in place during the winter. This leads to the south polar ozone "hole" that appears annually in the present-day atmosphere.

An effect of PSCs is seen in Figure 4, where column density of  $NO_y$  is reduced during polar winter, especially at the South Pole. (The feature is present in the North but is less intense.) This is due to the fact that during polar night most of the  $NO_y$  is in the form of  $HNO_3$  and, particularly at the South Pole, this  $HNO_3$  is largely in solid form in PSCs. This form of  $HNO_3$  is not included in the summation of total  $NO_y$  and so the  $NO_y$  column density shown here appears reduced during these times. Note, however, that it returns to high values at the end of polar night, when solid  $HNO_3$  is converted back to  $NO_2$ . Over the long term, the column density of  $NO_y$  that "reappears" after polar night is less than it was before. This is because some PSC particles fall down to the troposphere where  $HNO_3$  is rained out. This is the primary mechanism whereby  $NO_y$  is permanently removed from the atmosphere.

While the particular atmospheric conditions that lead to the north-south asymmetry seen here are peculiar to the present-day configuration of continents, the effects of varying burst latitude and time of year are much larger. For example, the asymmetry is reversed for an equatorial burst in September, and the strength of the asymmetry is less for equatorial bursts in June and December.

The relation of the time of year of the burst to the season at the polar regions is an essential factor in the variation of several features between the different burst cases. This includes differences such as the shift in north-south asymmetry and variation of maximum ozone depletion (both globally averaged and localized) for bursts over a given latitude that occur at different times of year. Other features are affected by this timing as well and are discussed later in this section. The key variable is how much time elapses between when the burst occurs and when polar night ends. Figure 10 shows (in arbitrary units) the intensity of sunlight (at noon) at each latitude over 4 yr, starting in late March, June, September, and December, similar to Figures 4–6. This figure may allow the reader to more easily relate the solar intensity at a given time of year and latitude and the effects to be discussed below.

Relative timing of the burst and polar spring is important due to the fact that photolysis is an important factor in the chemistry of  $NO_y$  and ozone and hence the presence (and incidence angle) of sunlight is critical (see § 3.1).  $NO_y$  constituents, particularly

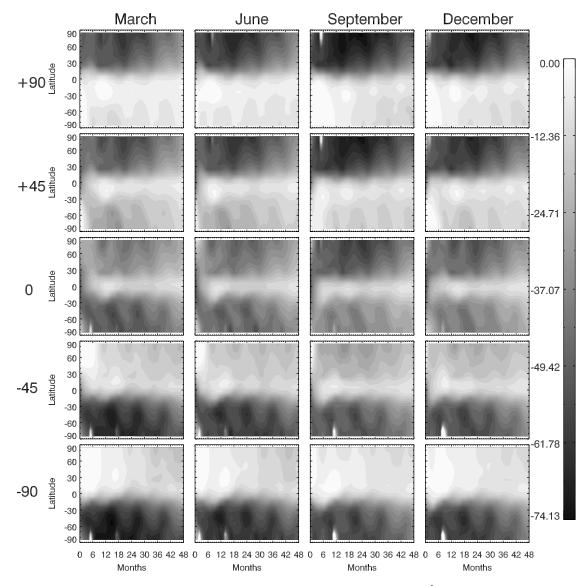


Fig. 6.—Pointwise percent change in column density of  $O_3$  (comparing runs with and without burst), for  $100 \, \text{kJ} \, \text{m}^{-2}$  bursts over latitudes  $+90^\circ$ ,  $+45^\circ$ , the equator,  $-45^\circ$ , and  $-90^\circ$ , at the equinoxes and solstices. (Bursts occur at month 0.) Note that in this plot white indicates values equal to or greater than 0.0. The "wedges" of white that appear in the polar regions in some frames are the only areas of positive percent change. [See the electronic edition of the Journal for a color version of this figure.]

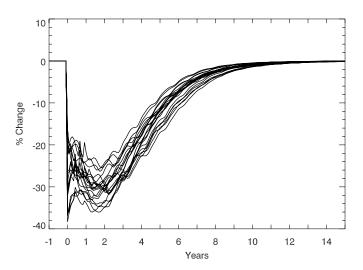


Fig. 7.—Percent change in globally averaged column density of  $O_3$ , for  $100~kJ~m^{-2}$  bursts over latitudes  $+90^\circ$ ,  $+45^\circ$ , the equator,  $-45^\circ$ , and  $-90^\circ$ , at the equinoxes and solstices. (Bursts occur at month 0.)

NO and NO<sub>2</sub> (the most important for O<sub>3</sub> depletion), are strongly photolyzed. Therefore, whether the Sun is up in the polar regions, where NO<sub>y</sub> tends to concentrate, is important for how much of an effect on ozone these compounds have. Relative timing of the production of NO<sub>y</sub> by the burst and the appearance of the Sun after polar winter is the primary cause of differences in distribution and intensity of ozone depletion for bursts at different times of year. Important here is the fact that it takes some time for NO<sub>y</sub> to be transported to the polar regions when the burst is equatorial. Since the removal time for NO<sub>y</sub> is on the order of several years, these compounds build up in the polar regions.

For instance, for an equatorial burst in March, high southern latitudes are in darkness for 5–6 months after the burst (depending on latitude), whereas at high northern latitudes the Sun is up for most of the day during that time period and the next polar spring does not begin for some 11-12 months. This means that  $NO_y$  produced at the equator and transported to the northern polar regions may be reduced through photolysis and subsequent reactions while that transported to the southern polar regions is not. Hence, the concentration of  $NO_y$  is higher for longer in the high southern latitudes (see Fig. 4). Note that the opposite scenario is

 $TABLE\ 1$  Maximum Globally Averaged O  $_3$  Percent Change, for 100 kJ  $m^{-2}$  Bursts

Latitude (deg)	March	June	September	December
+90	-26	-29	-32	-31
+45	-30	-32	-33	-31
0	-36	-37	-38	-36
<b>-45</b>	-32	-31	-31	-31
-90	-31	-30	-26	-36

true for an equatorial burst in September, when the relative timing of the burst and spring at the poles is reversed. This may also be seen in the ozone depletion resulting from the concentration of  $NO_v$  (Figs. 5 and 6).

For bursts that occur in June and December,  $NO_y$  is somewhat more evenly distributed with latitude and ozone depletion effects are reduced, as compared to bursts in March and September. For these cases, the time between when the burst occurs and the soonest polar spring is smaller for both poles. If polar spring occurs too soon after the burst, significant amounts of  $NO_y$  will not be transported into the region before night returns and so the reduction of concentration by photolysis will be lessened. This is apparent for an equatorial burst in June at high southern latitudes and in December at high northern latitudes.

For bursts over the poles and  $\pm 45^{\circ}$  latitude there are likewise variations in ozone depletion with burst timing, although primarily in intensity rather than distribution, since for these bursts most of the NO<sub>v</sub> produced is contained within a hemisphere, as discussed earlier. Consider a north polar burst. The smallest depletion of ozone occurs for a burst in March. This is due to the fact that the Sun is up at most high latitudes at this time and is for several months after the burst. For a June burst the depletion becomes somewhat greater, since north polar night begins sooner after the burst. A December burst has yet higher depletion; here the burst occurs in the middle of north polar night. The greatest depletion occurs for a burst in September. In this case, the burst occurs just as north polar night is beginning. Note that the relative levels of depletion are reversed between March and September and between June and December for a south polar burst, simply due again to the relation of the time of year of the burst and the season at high southern latitudes.

Similar relationships are present for the bursts over  $\pm 45^{\circ}$  latitude. Here there is the additional feature of how much depletion occurs in the opposite hemisphere. For instance, a March burst at  $-45^{\circ}$  exhibits very little ozone depletion in the northern hemisphere, while a September burst results in a fair amount of depletion in the northern hemisphere, but less in the southern, as compared to the March case. The same phenomena described above apply here as well. In these cases, of course, most  $NO_y$  is produced and remains in the hemisphere over which the burst

 $TABLE\ 2$  Maximum Localized O3 Percent Change, for 100 kJ m  $^{-2}$  Bursts

Latitude (deg)	March	June	September	December
+90	-60	-66	-74	-70
+45	-56	-63	-72	-66
0	-55	-55	-60	-55
<b>-45</b>	-67	-63	-55	-66
-90	-71	-66	-59	-62

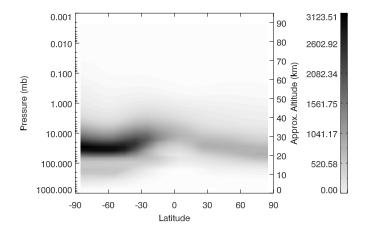


Fig. 8.—Pointwise percent change in number density of  $NO_y$  (comparing runs with and without burst), for 100 kJ m<sup>-2</sup>, March, equator case, 12 months after burst. [See the electronic edition of the Journal for a color version of this figure.]

occurs, as in the polar cases. However, since the burst occurs at midlatitudes, some  $NO_y$  is produced at the equator or even in the opposite hemisphere.

A feature that is obvious in Figure 6 is the presence of brief "spikes" of ozone *production*. These spikes appear with varying intensity for most burst cases. Some occur as lower depletion than their surroundings, while some exhibit an actual increase in ozone as compared to conditions without a burst. All occur close to the poles and are short lived (1–2 months). The presence and intensity of these spikes are related to the relative time of year of the burst and the season at the polar regions, similar to the effects discussed above.

Ozone production at the start of polar spring in the presence of high concentrations of  $NO_y$  can be understood as follows. As discussed above,  $NO_y$  exists primarily as  $HNO_3$  during polar night. When the Sun suddenly appears,  $NO_2$  is produced by photolysis of  $HNO_3$ .  $NO_2$  is then photolyzed to NO and O and NO is further photolyzed producing additional O. Direct production of  $O_3$  can then occur when O reacts with  $O_2$ . In addition, decreasing amounts of PSCs (as the temperature rises) and increasing amounts of  $NO_2$  reduce the ozone-depleting action of chlorine and bromine

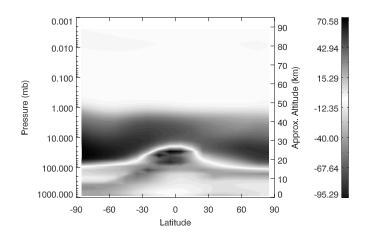


Fig. 9.—Pointwise percent change in number density of O<sub>3</sub> (comparing runs with and without burst), for 100 kJ m<sup>-2</sup>, March, equator case, 12 months after burst. Black in this figure represents both positive and negative percent changes. White is set to 0.0. The white "boundary" starting at about 15 km in the polar regions and moving upward toward the equator separates areas of negative percent change at higher altitudes and positive percent change at lower altitudes. [See the electronic edition of the Journal for a color version of this figure.]

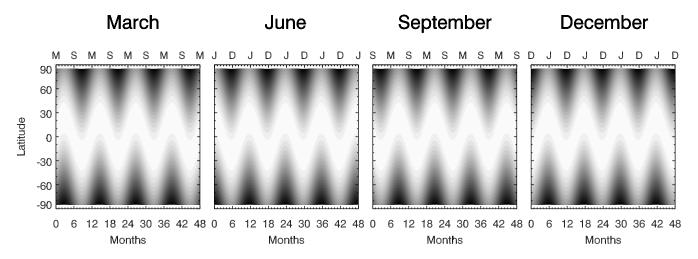


Fig. 10.—Intensity of sunlight at noon (in arbitrary units), beginning at the four times of year at which we have input bursts. On the upper axes, M = March, J = June, S = September, D = December.

compounds. (NO<sub>2</sub> reacts with ClO and BrO, sequestering Cl and Br in ClONO<sub>2</sub> and BrONO<sub>2</sub>, which do not directly interact with ozone.)

## 3.3. Varying GRB Duration and Time of Day

We now discuss the differences in results due to varying the time of day at which the burst occurs. All the results presented so far are for a burst input at noon. The short duration of the burst combined with the normal variation of  $O_3$  and  $NO_y$  constituents (especially NO and  $NO_2$ ) over the day-night cycle make it reasonable to expect that bursts that occur at different times of day, especially during daylight versus dark, will lead to differing results.

In Table 3 we present the maximum ozone depletions, globally averaged and localized, for bursts occurring every 2 hr during the day and night, starting at noon, in late March, over the equator. As can be seen, there is a difference of 3%-4% between daytime and nighttime bursts. However, those that all occur during daylight hours or all occur during dark hours are nearly identical to each other. We have also investigated a burst at midnight over the equator in late June, which is the maximally different case from March, in terms of season. In this case, the maximum globally averaged and localized ozone depletion is -40% and -59%, respectively. This compares to -37% and -55% for a noon burst at this time of year and latitude. Again, the difference between day and night bursts is 3%-4%. The greater ozone depletions for

TABLE 3 Maximum Globally Averaged  $O_3$  Percent Change, Every 2 hr, for 100 kJ m $^{-2}$ , March, Equator Burst Case

Time of Day	Global Average	Localized
Noon	-36	-55
2 р.м.	-37	-55
4 P.M	-37	-55
6 р.м	-36	-55
8 P.M	-40	-57
10 р.м	-40	-57
Midnight	-40	-57
2 A.M	-40	-57
4 a.m.	-40	-57
6 a.m	-37	-55
8 a.m	-37	-55
10 a.m	-37	-55

a nighttime burst as compared to a daytime burst can be explained by the fact that during darkness  $NO_y$  can be somewhat more easily produced by a burst and accumulated. (See the discussion above on the effects of sunlight on  $NO_y$  compounds.) For example, the largest column density value for the March, equator burst at noon is  $74.23 \times 10^{16}$  cm<sup>-2</sup>, while for the similar case at midnight the value is  $74.52 \times 10^{16}$  cm<sup>-2</sup>.

The long-term results for a midnight burst (i.e., results like those in Figs. 4–6) are nearly identical to those for a noon burst and so we do not reproduce them here. We do present, however, in Figures 11 and 12 the results of the short-term model for  $NO_y$  and  $O_3$  column density, showing the immediate effect of the burst. These figures are for a burst at noon, in late March, over the equator. The run starts at noon and the burst is input 72 hr later (at time 0 in the plots). Note that the scales here are different than in Figures 4 and 5, in order to give a clearer sense of the immediate production/destruction, particularly for ozone, where maximal effect is not seen for some months after the burst.

We have also investigated bursts of various durations with total energy fixed. We find that for bursts of durations between 1 and 1000 s there is no significant difference in long-term results. The implication that total energy is more important than duration is in concordance with the fact that ozone depletion results for an SN (Gehrels et al. 2003) of the same fluence are not greatly different from our results. In that study, a fluence of approximately

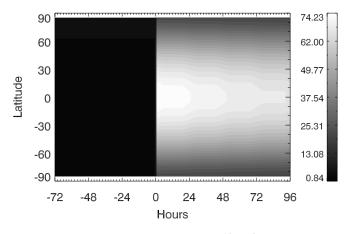


Fig. 11.—Column density of  $NO_y$  in units of  $10^{16}$  cm<sup>-2</sup>. Hourly results for a 100 kJ m<sup>-2</sup> burst over the equator in late March. (Burst occurs at time 0.) [See the electronic edition of the Journal for a color version of this figure.]

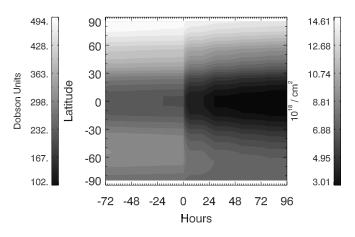


Fig. 12.—Column density of  $O_3$  with scales for both Dobson units (*left*) and  $10^{18}$  cm<sup>-2</sup> (*right*). Hourly results for a 100 kJ m<sup>-2</sup> burst over the equator in late March. (Burst occurs at time 0.) [See the electronic edition of the Journal for a color version of this figure.]

 $15 \text{ kJ} \text{ m}^{-2}$  input over 300 days resulted in maximum globally averaged ozone depletion of about 27%. For a GRB delivering the same fluence over 10 s we find a maximum globally averaged ozone depletion of 16%. Of course, such a GRB could be 500 times farther away and produce this similar effect.

We would like to understand why total energy input is more important than duration of that input. The timescale of our burst range  $(1-1000~\rm s)$  is long compared to the ionization and dissociation timescales, which are nearly instantaneous. Additionally, the input timescale is short compared to the ozone depletion timescale, which is hours to days. To the depletion process, all bursts in this duration range are effectively impulsive and provide equal amounts of initiating compounds.

To first order, for the energy regime in which we are working, every photon interacts high in the atmosphere by gross ionization and dissociation through many encounters. The effect of one photon is essentially independent of another and is proportional to how many ionizations and dissociations it can cause, which just depends on its total energy. So, the overall effect is, to first order, a result of the total energy of the burst, not its duration (within the range we consider here). It is possible that the individual photon "hardness" (i.e., the spectrum) may have an effect if it is extremely different because of the atmospheric cross section differences. This

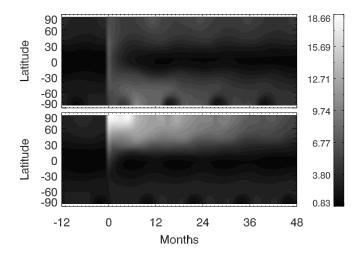


Fig. 13.—Column density of  $NO_y$  in units of  $10^{16}$  cm<sup>-2</sup>, for 10 kJ m<sup>-2</sup>, March, equator (top) and September, +45° (bottom) cases. (Bursts occur at month 0.) Note that the scale here is not the same as that for Fig. 4. [See the electronic edition of the Journal for a color version of this figure.]

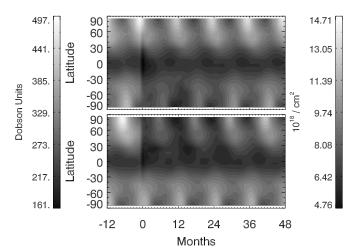


Fig. 14.—Column density of  $O_3$  with scales for both Dobson units (left) and  $10^{18}$  cm<sup>-2</sup> (right), for 10 kJ m<sup>-2</sup>, March, equator (top) and September, +45° (bottom) cases. (Bursts occur at month 0.) Note that the scale here is not the same as that for Fig. 5. [See the electronic edition of the Journal for a color version of this figure.]

would, however, be a rather unusual GRB. We are currently working on this aspect, as well as including effects from the GRB afterglow.

# 3.4. Varying Burst Fluence

There is a wide range of possible intrinsic energies and distances from the Earth for a burst in the last billion years (see § 2.2 and Melott et al. 2004). Our primary event was selected as a conservative estimate of the probable largest burst in the last billion years. We have also modeled bursts delivering two other fluence values to the Earth.

We first discuss the results for a burst of incident fluence  $10 \text{ kJ} \text{ m}^{-2}$ . This fluence corresponds to our standard burst at a distance of approximately 6.5 kpc. We do not present as complete a set of results as that discussed above for the  $100 \text{ kJ} \text{ m}^{-2}$  case, since the main qualitative features due to time of year, latitude, and time of day are the same, although the intensity in all cases for this lower fluence is, of course, reduced. We present results for a burst in late March over the equator, for comparison with results discussed in Thomas et al. (2005) for the  $100 \text{ kJ} \text{ m}^{-2}$  case.

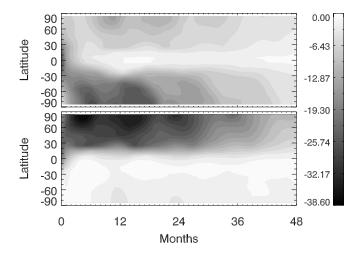


Fig. 15.—Pointwise percent change in column density of  $O_3$  (comparing runs with and without burst), for 10 kJ m<sup>-2</sup>, March, equator (*top*) and September, +45° (*bottom*) cases. Note that the scale here is not the same as that for Fig. 6. In contrast to Fig. 6, there are no areas of positive percent change in these cases. [See the electronic edition of the Journal for a color version of this figure.]

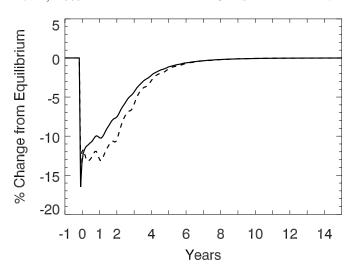


Fig. 16.—Percent change in globally averaged column density of  $O_3$ , for 10 kJ m<sup>-2</sup>, March, equator (*solid line*) and September, +45° (*dotted line*) cases.

We also present results for a burst in late September over  $+45^{\circ}$  latitude. For the 100 kJ m<sup>-2</sup> case, the highest ozone depletions occur for a burst in September over the North Pole. However, a burst over  $+45^{\circ}$  is more likely than a polar burst (due to surface area considerations), so we have chosen this combination as a probable upper limit of effects for this fluence case.

Atmospheric results for a burst of incident fluence 10 kJ m<sup>-2</sup> in late March over the equator and in late September over  $+45^{\circ}$ latitude are shown in Figures 13-16, which are similar to Figures 4-7 for the 100 kJ m<sup>-2</sup> cases. Like the previous fluence cases discussed, immediate atmospheric effects are seen in production of NO<sub>v</sub> and destruction of O<sub>3</sub>. We see here again that effects from a burst over +45° latitude are mostly contained within that hemisphere. Likewise, greater ozone depletion is seen at the polar regions for both burst latitudes and primarily in the southern polar regions for the equatorial burst, as seen for the previous cases as well and described in more detail above. Note here that no ozone production "spikes" are present, although the depletion is smaller in the same time frame as the spikes in the previous cases. This difference is simply due to the much smaller quantity of NO<sub>v</sub> produced in this case (maximum column densities more than an order of magnitude smaller). Again similarly to the 100 kJ m<sup>-2</sup> cases, localized ozone depletion is greater and globally averaged depletion is lower for the +45° burst latitude, as compared to an equatorial burst. See Table 4 for values of maximum localized and globally averaged ozone depletion. In comparison to the 100 kJ m<sup>-2</sup>, March, equator case, this fluence yields only 0.44 times the globally averaged ozone depletion and 0.51 times the localized depletion. Similarly, for the September, +45° burst this fluence yields only 0.39 of the globally averaged ozone depletion and 0.52 of the localized depletion. It is interesting to note that the effects as measured with these quantities are only about half as great for a fluence that is an order of magnitude smaller. This is likely due to the fact that there tends to be a "freezeout" of NO production that will limit the relative impact of higher fluence bursts.

Figure 16 shows the globally averaged ozone depletion over time for both  $10 \text{ kJ m}^{-2}$  cases presented. Note that the depletion for the September,  $+45^{\circ}$  case is longer lived (as can also be seen in Fig. 15). Significant depletion (greater than 10%) is evident only as long as 2 yr after the burst (1 yr for the March, equator case), and full recovery is achieved by about 8 yr after the burst in both cases.

 $TABLE\ 4$  Maximum O  $_3$  Percent Changes for 10 kJ m $^{-2}$  Cases

Burst Case	Global Average	Localized
March, equator	-16	-28
September, +45°	-13	-38

Although our model is probably not capable of correctly handling the chemistry, heating, and transport for a burst of much higher fluence than 100 kJ m<sup>-2</sup>, we have also modeled a 1 MJ m<sup>-2</sup> fluence (see §§ 4.2 and 4.4 for a discussion of uncertainties relevant here). This fluence corresponds to a burst at a distance of about 600 pc, which is the closest probable burst in the last billion years, based on less conservative assumptions, as discussed in Melott et al. (2004). Due to the high level of uncertainty in modeling this fluence, we do not present full results. However, for comparison with the above results, our modeling indicates that a burst delivering this fluence in late March over the equator yields globally averaged ozone depletion of -65% (1.8 times that for the 100 kJ m<sup>-2</sup> case) and localized depletion of -80%(1.5 times the  $100 \text{ kJ m}^{-2}$  case). It is again interesting to note that roughly a factor of 2 separates these depletion values for fluences an order of magnitude different.

It is interesting to note the trends of NO<sub>v</sub> production and O<sub>3</sub> depletion for the three fluence cases presented, with the strong caveats of the uncertainty of our modeling of the 1 MJ m<sup>-2</sup> fluence and the fact that we have only three data points. That said, we find that NO<sub>v</sub> production scales linearly with fluence while the percent change in globally averaged column density of  $O_3$  scales with fluence as a power law with index  $\sim 0.3$  over this fluence range. The slower increase in O<sub>3</sub> depletion with fluence as compared with increase in NO<sub>v</sub> production shows that the efficiency of depleting ozone decreases with increased NO<sub>v</sub> production. This is partly due to increased interaction of NO<sub>v</sub> with other species, which limits the effectiveness of both NO<sub>v</sub> on ozone and other species (such as Cl and Br) that deplete ozone. There is also higher production of O<sub>3</sub> in cases where higher levels of  $NO_{\nu}$  are seen. This effect is seen both in total column density (at polar spring; see § 3.2) and at altitudes below the normal peak of ozone concentration (see Fig. 9). Additionally, there is only so much ozone present to deplete, and complete depletion is never reached but only approached asymptotically. For instance, at 32 km altitude, the pointwise percent change in ozone 1 month after the burst is -64% for a 10 kJ m<sup>-2</sup> burst, -91% for a  $100 \text{ kJ m}^{-2}$  burst, and -98% for a 1 MJ m<sup>-2</sup> burst. This shows that the depletion simply cannot scale linearly with fluence, even though the NO<sub>v</sub> production does, since even the middle fluence value depletes nearly all of the ozone at that altitude.

## 3.5. Biological Effects

Ozone normally absorbs about 90% of solar UVB (wavelengths between 290 and 315 nm) radiation. This wavelength range is particularly damaging to organisms since DNA absorbs strongly here. Depletion of ozone due to a burst, as we have modeled, will lead to increased solar UVB reaching the surface and penetrating a few to tens of meters in water. As described in § 2.4, we have computed the direct effect of this increased UVB on life by determining the irradiance at the surface and convolving this with a biological weighting function (Setlow 1974; Smith et al. 1980), which describes the effectiveness of particular wavelengths in damaging DNA molecules (see Fig. 1). These results are presented in Figures 17 and 18. Other weighting functions also exist, quantifying effects such as erythema (sunburn; e.g., McKinlay

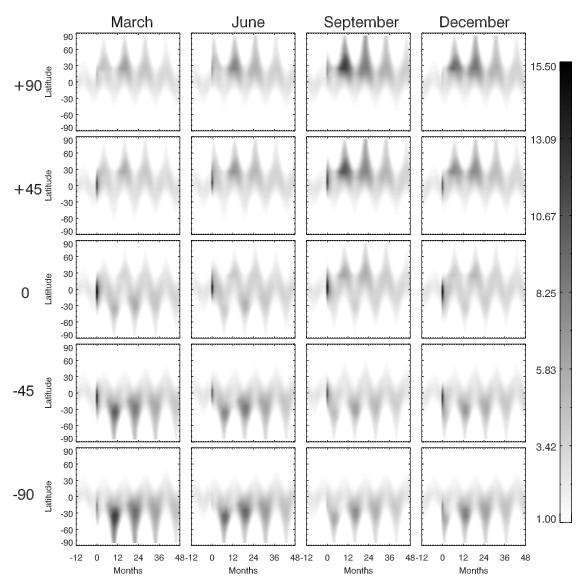


Fig. 17.—Relative DNA damage (dimensionless), normalized by the annual global average damage in the absence of a GRB, for  $100 \text{ kJ m}^{-2}$  bursts over latitudes  $+90^{\circ}$ ,  $+45^{\circ}$ , the equator,  $-45^{\circ}$ , and  $-90^{\circ}$ , at the equinoxes and solstices. (Bursts occur at month 0.) Note that white indicates values between 1.0 and 0.0. Experiments suggest that significant mortality for marine microorganisms should certainly exist in areas where this measure exceeds 2. [See the electronic edition of the Journal for a color version of this figure.]

& Diffey 1987), inhibition of photosynthesis (e.g., Cullen et al. 1992), melanoma (e.g., Setlow et al. 1993), mortality (e.g., Kouwenberg et al. 1999), etc. While these all vary from each other somewhat, the variation is small. Figure 7.1 of Jagger (1985) shows several different weighting functions, all of which have similar shapes over our wavelength range.

Figure 17 shows DNA damage for all burst latitude and time of year cases discussed for 100 kJ m $^{-2}$  fluence ( $\S$  3.2). This includes the burst in late March over the equator, which was first presented in Thomas et al. (2005). Figure 18 shows DNA damage for the cases discussed for 10 kJ m $^{-2}$  fluence ( $\S$  3.4). We have normalized the plots by dividing the damage by the annual global average damage in the absence of a GRB. Note that the scales in the figures are not the same, in order to allow for greater visibility of features. Also, white areas indicate relative DNA damage values between 1.0 and 0.0 (see color figures online). Experiments suggest that significant mortality for marine microorganisms should certainly exist in areas where this measure exceeds 2.

Several interesting features may be noted. For many cases, maximum DNA damage is evident immediately around the equator

and is fairly short lived. However, for some cases (particularly polar bursts), the maximum values occur somewhat later at midlatitudes (e.g., around  $\pm 30^\circ$ ). In the long term (more than a few months), greatest DNA damage is apparent at mid- to low latitudes for all burst cases. This heightened damage lasts several months at a time, recurring annually. This latitude dependence and annual recurrence are due to a combination of the  $\rm O_3$  depletion effects with the Sun incidence angle, length of day, etc. Note that the highest DNA damage values occur for cases with the highest localized ozone depletion.

Like the atmospheric results, the lower fluence DNA damage results show similar qualitative properties to those for the higher fluence (greatest damage initially at the equator, long-term enhanced damage at midlatitudes, annually recurring), but less intense. The maximum DNA damage for the  $10\,\rm kJ\,m^{-2}$  cases occurs with a March burst over the equator and is 0.39 of the maximum for the  $100\,\rm kJ\,m^{-2}$  cases (including a March, equator burst). Note that this is a smaller fraction than that for ozone depletion (local or globally averaged) when comparing between fluences for this burst case. This is due to the nonlinear relationship between

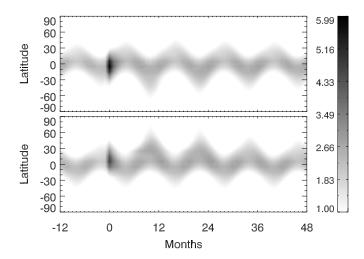


Fig. 18.—Relative DNA damage (dimensionless), normalized by the annual global average damage in the absence of a GRB, for 10 kJ m<sup>-2</sup>, March, equator (top) and September, +45° (bottom) cases. (Bursts occur at month 0.) Note that white indicates values between 1.0 and 0.0. Note that the scale here is not the same as that for Fig. 17. [See the electronic edition of the Journal for a color version of this figure.]

DNA damage and ozone depletion (Madronich et al. 1998). Longterm enhancement of damage is relatively small and shorter lived here, indicating that damage is probably only significant for the first 2 months or so. These features are understandable given the relatively small ozone depletions at midlatitudes for this fluence.

It is important to note that greater DNA damage is evident at mid- to low latitudes, in the long term, for *all* cases. One might think that this damage would be countered by a greater evolved UVB resistance in organisms at low latitudes. However, at least for modern organisms, there is no evidence that temperate zone phytoplankton are any more UVB resistant than Antarctic plankton (B. Prezelin 2004, private communication). Thus, one might predict that greater ecological damage and extinction would be likely near the equator. It is interesting that the late Ordovician mass extinction seems to be alone in having recovering fauna preferentially derived from high-latitude survivors (Jablonski 2004).

## 3.6. Opacity and Nitrate Deposition

Two additional effects of a GRB impact, first discussed for general ionizing events by Reid et al. (1978), are reduction of sunlight due to opacity in the visible of  $NO_2$  and nitrate deposition due to rainout of  $NO_y$  in the form of  $HNO_3$  (nitric acid rain). Here we quantify these two effects using our modeling results for the various latitude and time of year cases discussed in  $\S$  3.2 for a  $100~\rm kJ~m^{-2}$  fluence burst. A subset of the results in this section are discussed elsewhere (Melott et al. 2005).

## 3.6.1. Opacity due to NO<sub>2</sub>

As discussed above,  $NO_2$  is a major compound generated by a GRB impact. It has a major role in  $O_3$  depletion but also absorbs strongly in the visible, giving it a brown cast. Such absorption may easily lower global temperatures, if sufficient  $NO_2$  is formed. In order to calculate the reduction in transparency due to  $NO_2$ , we first find the surface irradiance at any given time in the presence of  $NO_2$ . This was done by convolving the solar spectral irradiance with the transparency of the Standard US Atmosphere (ASTM Subcommittee G3.09. 1999) and the transparency of the  $NO_2$ . The transparency of  $NO_2$  is calculated

using the Beer-Lambert type relation, similar to that used in this work to calculate UV irradiance, as discussed in  $\S$  2.4. The optical depth was computed using the absorption cross section of  $NO_2$  (Vandaele et al. 1998) and the column density through the atmosphere (computed in the model) at the angle between the Sun direction and a normal to the Earth's surface. The surface irradiance is then integrated over wavelength and multiplied by the cosine of the angle between the Sun and the surface normal to account for insolation. The irradiance was averaged over the course of a day. This calculation was repeated with the  $NO_2$  column density set to zero to obtain the average daily irradiance in the absence of  $NO_2$ . The relative transparency was taken as the ratio of these two mean irradiance values and is shown in Figure 19 for the burst cases discussed in  $\S$  3.2. We show a full year preburst, so that the change due to the burst is more obvious.

The greatest intensity and duration of reduction in sunlight reaching the surface occur, not surprisingly, for those burst cases where the most NO<sub>v</sub> column density is produced in a local area (see Fig. 4). Our results are suggestive that climate change may be possible from the several years' reduced sunlight. For example, an estimated reduction of only 0.36% in solar flux during the Maunder Minimum may have caused the "Little Ice Age" in Europe (Hoyt & Schatten 1993). In our simulations, the greatest NO<sub>2</sub> buildup takes place at high latitudes, partially persisting during polar summer, which ought to have a greater effect, reducing the melting of ice there. A glaciation accompanied the late Ordovician extinction. Its initiation is not well understood but may have required a perturbation such as that found here in order to trigger the instability (Herrman et al. 2003, 2004). Further progress in understanding this process will require detailed climate modeling.

## 3.6.2. Nitrate Deposition

 $NO_2$  reacts with hydroxyl (OH, a product of dissociating water vapor) to make nitric acid,  $HNO_3$ . This is precipitated out in rain or snow, which is one of the primary ways the atmosphere returns to equilibrium after our model burst. The global mean surface density of nitrate is interesting, of order the amount deposited by lightning  $(6 \times 10^{-3} \text{ g m}^{-2})$  over several years, or the amount used in a typical agricultural application. Nitrogen is essential for life, but atmospheric  $N_2$  is nearly unavailable to most organisms due to the strength of the nitrogen triple bond. Most biota respond strongly with increased growth rates due to nitrate deposition (Schlesinger 1997). Acids would stress portions of the biosphere (e.g., Hatch & Blaustein 2000) but after immediate titration should act as fertilizer (Strong et al. 1996; Shi et al. 2005).

In order to compute nitrate deposition, we used HNO<sub>3</sub> rainout data as a reasonable measure, since this is the primary pathway by which a GRB would increase nitrate deposition. Rainout is directly computed by the atmospheric model and is empirically based. Using both HNO<sub>3</sub> concentration and rainout coefficients from the model, we computed HNO<sub>3</sub> rainout rates as a function of time and latitude. Significant rainout builds up at least a few months after the burst and the peak yearly deposition occurs in the third year after the burst in all cases presented here.

Our rainout estimates, and in particular their geographic pattern, must be regarded as only exemplary, since they are based on current empirical data. Rainout is more strongly affected than are ozone depletion and radiation transparency by the configuration of surface features, such as land versus sea, mountains, etc., and overall temperature, which would be different at other times. These results should be viewed as a rough guide to what may be expected.

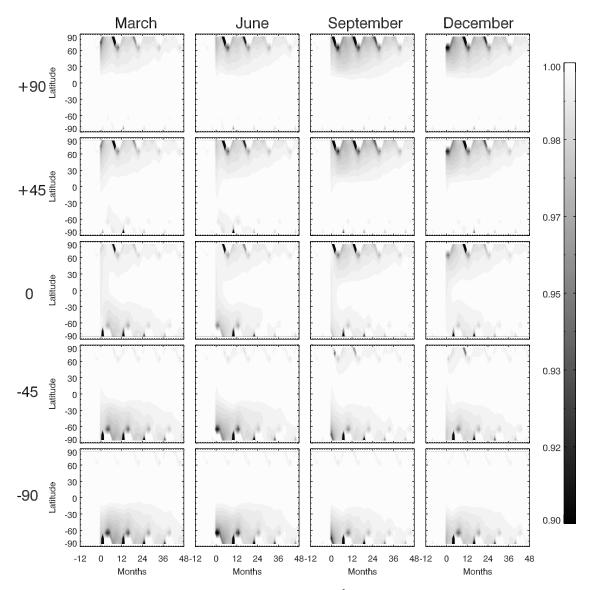


Fig. 19.—Time development of relative solar fluence reaching the surface for  $100 \text{ kJ m}^{-2}$  bursts over latitudes  $+90^{\circ}$ ,  $+45^{\circ}$ , the equator,  $-45^{\circ}$ , and  $-90^{\circ}$ , at the equinoxes and solstices. Relative fluence is the computed amount divided by that in the absence of  $NO_2$  absorption. The value is set to 1 for total polar darkness. [See the electronic edition of the Journal for a color version of this figure.]

Figure 20 shows nitrate rainout rates (flux of N as nitrate) preburst and postburst for the cases discussed in  $\S$  3.2 for a  $100\,\mathrm{kJ}\,\mathrm{m}^{-2}$  fluence burst. Much of the latitude asymmetry in this case is due to the fact that there is presently more precipitation in the Northern Hemisphere, especially north of about  $45^\circ$  latitude, as compared to that south of  $45^\circ$  latitude. This is primarily due to warmer temperatures at high northern latitudes as compared with high southern latitudes (warmer air can hold more moisture; Stull 2000). More land mass at high northern latitudes also contributes, since one trigger of precipitation is uplift flow over mountains.

This latitude asymmetry would not be a feature expected at other times. However, we believe that our global averages (see Table 5) are reasonable guidelines to effects at earlier times. Our baseline rainout rate is empirical and will also include a small additional component due to biological sources. N fixation by land plants would not be a significant contribution at the Ordovician. However, most biogenic nitrogen is directly deposited on land or in water and not from rainout. The background rate due to lightning constitutes at most 70% of the preburst back-

ground as shown in this figure (Schlesinger 1997). Thus, a burst at the Ordovician or earlier would cause a somewhat greater relative change in nitrate rainout rate.

Table 5 lists global average annual nitrate rainout above baseline for all cases presented in Figure 20. For reference, the baseline (background, without a burst) global average annual rainout value is  $8.33 \times 10^{-3}$  g m $^{-2}$ . The values for several burst cases (especially those at  $+90^{\circ}$  and  $+45^{\circ}$ ) are similar to or somewhat greater than the total generated by lightning (6 × 10 $^{-3}$  g m $^{-2}$ ) and other nonbiogenic sources (Schlesinger 1997), and values for all cases are at least the same order of magnitude. Greater values for bursts at high northern latitudes are partly due to the higher levels of rainout in the Northern Hemisphere in our model. However, we also note that for a burst in September over the equator the value is close to that for lightning.

#### 4. UNCERTAINTIES

Several sources of uncertainty exist in our modeling results. Below we discuss issues associated with our computation of ionization profiles, possible effects of redistributed gamma-ray

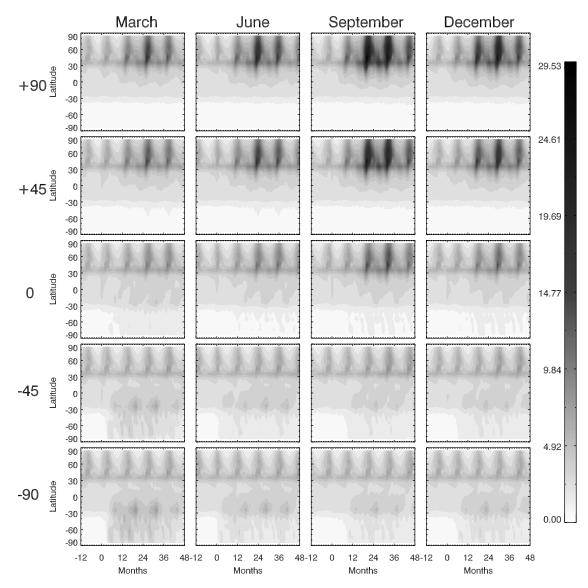


Fig. 20.—Rainout of N as nitrate (NO $_3^-$ ) in units of  $10^{-10}$  g m $^{-2}$  s $^{-1}$  for 100 kJ m $^{-2}$  bursts over latitudes  $+90^\circ$ ,  $+45^\circ$ , the equator,  $-45^\circ$ , and  $-90^\circ$ , at the equinoxes and solstices. The preburst background includes all nonanthropogenic rainout at modern times and would be somewhat lower prior to the advent of terrestrial nitrogen-fixing plants. [See the electronic edition of the Journal for a color version of this figure.]

photons, possible effects of the GRB afterglow, self-limitation of the production of  $NO_y$ , the lack of some reactions in the model, and the lack of temperature and dynamics feedback in the model.

## 4.1. Ionization Profiles

Our ionization profiles are computed (as described in § 2.2) using simple energy-dependent attenuation coefficients, rather

TABLE 5 Annual Global Average Nitrate Deposition ( $10^{-3}~{\rm g~m^{-2}}$ ) above Baseline in Year 3 (Postburst), for  $100~{\rm kJ~m^{-2}}$  Bursts

Latitude (deg)	March	June	September	December
+90	5.19	6.52	10.9	8.98
+45	4.45	5.62	9.36	6.87
0	3.52	3.37	5.67	4.17
<b>-45</b>	3.83	2.72	2.84	2.72
<u>-90</u>	4.40	2.95	2.49	2.68

than by a full radiative transfer calculation. We have adopted this technique from Gehrels et al. (2003), modifying the functional form of the spectrum. The altitude of maximum energy deposition in Gehrels et al. (2003) is around 34 km, which compares favorably with an altitude of around 32 km from a full radiative transfer method (as reported in Smith et al. 2004). In our case, as shown in Figure 21, we find the altitude of maximum energy deposition to be similar, around 33 km.

## 4.2. NO<sub>v</sub> Production

Some uncertainties in the model's computation of the production of  $NO_y$  exist, primarily due to the lack of some reactions involving NO in the model. The reaction  $N+N\to N_2+\gamma$  is not included in the model and could limit production of NO by reducing the amount of N available to react with  $O_2$ . However, this reaction is unlikely due to its limited phase space and the simultaneous requirement of momentum and energy conservation. A somewhat more likely reaction that is not included in the model is  $N+N+M\to N_2+M+\gamma$ , where M is an arbitrary atmospheric constituent, effectively either  $N_2$  or  $O_2$ . This is not

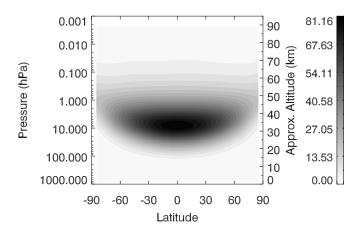


Fig. 21.—Energy deposition (10  $^5$  MeV cm  $^{-3})$  for a 100 kJ m  $^{-2}$  burst over the equator, in late March.

likely to be a large effect, since for our 100 kJ m $^{-2}$  fluence burst the N abundance compared to  $O_2$  or  $N_2$  is small, with  $N/O_2 \sim N/N_2 \sim 10^{-6}$ . Therefore, it appears much more likely that N would react with  $O_2$  rather than N in the reaction above.

There is a limiting reaction for NO production involving excited state  $N(^2D)$  that is not included in the model:  $N(^2D) + NO \rightarrow N_2 + O$ . The destruction rate of NO with excited state  $N(^2D)$  is about twice that of the destruction rate with ground state  $N(^1S)$ . To better quantify this effect, we have performed simplified offline computations to determine the maximum production of NO for various ratios of  $N(^2D)/N(^4S)$ . For a  $100 \text{ kJ m}^{-2}$  fluence burst, these computations (at 250 K) indicate production concentrations between  $1.94 \times 10^{11}$  and  $2.88 \times 10^{11}$  cm<sup>-3</sup> for ratios between 0.0 and 1.0. This compares favorably with a maximum production in the model of  $2.5 \times 10^{11}$  cm<sup>-3</sup>. This comparison, as well as the small variation of the production concentration with varying  $N(^2D)/N(^4S)$  ratio, indicates that the exclusion of this particular reaction is unlikely to be a significant uncertainty.

Another possible limiting reaction for NO production that is not included in the model is  $H+NO+M\to HNO+M$ . The rate for this reaction is about  $10^{-13}\,\mathrm{cm^3\,s^{-1}}$  compared to  $10^{-11}\,\mathrm{cm^3\,s^{-1}}$  for the reaction  $N+NO\to N_2+O$ . This could be significant if there is a very large concentration of H compared to N. We do note a brief spike in the model results where H concentration exceeds N by 2–3 orders of magnitude for a few minutes and then quickly drops to 2–3 orders of magnitude smaller than N. Hence, while there is likely a small perturbation here that we do not include, it is unlikely to be significant in the long run.

## 4.3. Additional Radiation Effects

Our current modeling does not include the redistributed UV from the prompt GRB emission. This radiation would be present in the stratosphere where it may affect ozone in two competing ways. At less than 242 nm, UV will generally increase ozone by dissociating  $O_2$ . The two oxygen atoms then form ozone by reacting with  $O_2$ . UV at greater than 242 nm will generally decrease ozone because it breaks ozone apart and the oxygen atom has a chance of reacting with some other chemical rather than reacting with  $O_2$  to reform ozone. Full treatment of this issue would require radiative transfer computations that are beyond the scope of the present work. However, we have performed a simple test of the magnitude of such effects by increasing the solar flux used in the model by  $10^4$  for 10 s to simulate the re-

distributed UV. The value 10<sup>4</sup> was chosen based on conversations with J. Scalo, who has, along with D. Smith and J. C. Wheeler, performed radiative transfer computations for cases similar to ours (Smith et al. 2004). The resulting long-term maximum ozone depletion is a few percent greater (42% globally averaged), compared to a case without this increased solar flux (36% globally averaged). This test is not definitive since we have not attempted to accurately model the spectrum of the redistributed UV. The results at least indicate that our results may be somewhat conservative.

We have not included in our modeling radiation from the afterglow of the GRB. While not strictly an uncertainty in the modeling itself, inclusion of afterglow radiation could affect our results. The ionizing fluence in afterglow X-ray may be comparable to that of the prompt gamma rays, or somewhat less (Vanderspek et al. 2003; Marshall & Swank 2003). While the energy of the photons is lower (0.5-2 keV), this radiation would arrive over a longer time period (days). This would likely lead to a modest increase in the NO<sub>v</sub> production and O<sub>3</sub> depletion, making our current atmospheric results somewhat conservative at worst. A perhaps more significant effect is that much of the radiation would arrive after the ozone layer has been depleted, at least at some latitudes. This would lead to an enhanced UVB flux at the ground, from redistributed X-ray as well as direct UV from the GRB afterglow, which would arrive over days to weeks. This would augment the solar UVB, increasing our DNA damage values.

We have also neglected any variability of the parameter values used in the Band spectrum (see  $\S$  2.2) or temporal variability of the burst. This would have some effect on our ionization profiles that are input to the atmospheric model. We cannot say for certain how large of an effect this would be, but we expect it to be small, partly due to the fact that our results are not significantly affected by spreading the total energy input over durations ranging from 1 to 1000 s. So far, the total energy appears to be the most important factor for long-term results.

Some bursts have values of  $\beta > -2$  in the Band spectrum, in which case  $E_0$  is not a peak of the energy distribution. In these cases more photons are at higher energies. We did not include this consideration in our modeling. Long-term ozone depletion could be slightly enhanced in these cases due to more high-energy photons (and so more ionization), but if the total energy input is kept the same, then we do not expect a large change to our results.

## 4.4. Temperature and Dynamics Feedback

The GSFC atmospheric model does not include feedback on temperatures (and thereby dynamics) due to changes in constituent values. This will introduce some uncertainty to our results, since depleting ozone changes the energy absorption properties of portions of the atmosphere (particularly the stratosphere). This will have complicated effects. Loss of ozone leads to cooling that slows depletion reactions involving NO<sub>y</sub>. However, in the polar regions cooling will enhance formation of PSCs that increase ozone depletion (see § 3.2). Rosenfield et al. (2002) have investigated the impact on ozone depletion by CO<sub>2</sub>-mediated stratospheric cooling. For temperature changes of about 5 K they find a few percent difference in ozone levels with and without the cooling effect. They also find that effects are highly dependent on latitude and time of year.

While it is difficult to know how our results may be affected, some estimates may be made. Using a one-dimensional temperature-constituent coupled model, we have determined

that changes in stratospheric temperature of order 10 K are probable. Key ozone depletion reactions may see rate reductions of 30%–50%. This does not simply translate into changes in ozone depletion, however, since there is feedback between ozone levels and temperatures, complicating the situation. To get some sense of the effect on ozone levels due to a temperature reduction of 10 K, we have run an extreme case where all temperatures everywhere in the model are reduced by this amount. This run (in March at the equator, for a 100 kJ m<sup>-2</sup> fluence burst) results in maximum localized ozone depletions that are a few (2–3) percent less than for a comparable run without the temperature change. Therefore, we conclude that the impact of such a temperature change on our primary results (ozone depletion) is not likely to be significant.

Another effect of lack of temperature feedback is on dynamics (transport) in the model. Temperatures (and gradients in temperature) affect pressures (and gradients in pressure) that drive dynamics. Simple calculations indicate that pressure changes due to a 10 K temperature change are relatively minor, at least 2 orders of magnitude smaller than those associated with major weather systems such as tornadoes. However, due to the complications of gradients and heating rates, it is not possible to estimate the full effect accurately. The primary impact on our results of such uncertainties is likely to be changes in how rapidly NO<sub>y</sub> compounds are dispersed through the atmosphere. This could affect the latitude and time distribution of ozone depletion, even if total depletion levels remain similar.

#### 4.5. UVB Calculations

We have not used a radiative transfer calculation to determine the solar UVB flux at the Earth's surface, for use in computing DNA damage. As discussed in  $\S$  2.4, we use the Beer-Lambert relation and only consider effects of ozone absorption, neglecting scattering effects. We believe that this is justified for several reasons. First, according to Madronich (1993), in the UV band atmospheric absorption (primarily by  $O_3$ ) is dominant over scattering due primarily to the relative cross sections for these processes. (The attenuation of a beam by  $O_3$  absorption is approximately 2 orders of magnitude greater than by scattering, neglecting diffuse background.) In addition, while absorption leads to loss of energy, scattering primarily changes direction, not energy, leading to a diffuse background of similar flux as would occur without scattering.

We have checked our UVB irradiance results for the unperturbed, equilibrium atmosphere in comparison with measured values. The typical UVB irradiance at noon in summer at the equator today is around 2–4 W m<sup>-2</sup>. Using the 1976 US Standard Atmosphere ozone column density of  $8.1 \times 10^{18}$  cm<sup>-2</sup> in our code at noon at the summer solstice at the equator yields a result of about 3 W m<sup>-2</sup>, in good agreement with observations. Therefore, we feel confident that neglecting scattering here does not introduce significant errors.

# 4.6. Application to Other Geologic Eras

As discussed in the Appendix, the GSFC atmospheric model is tuned to the present-day atmosphere, especially in regard to temperatures and dynamics. Certain aspects of our results, such as greater ozone depletion in the southern polar regions and higher rainout values in the Northern Hemisphere, are largely dependent on continental arrangement. Thus, features such as a strong south polar vortex and enhanced PSC formation in that region may not be accurate for other periods in the Earth's history,

when continental arrangements were not the same as today. The latitude dependence of our nitrate deposition results is particularly suspect in application to different time periods.

We do, however, note that latitude dependence of ozone depletion is much more strongly affected by differences in what time of year a burst occurs at, as well as over what latitude (see Fig. 6). In particular, the north-south asymmetry in ozone depletion that appears for a March, equatorial burst is almost completely reversed for a burst over the same latitude in September. Since the time of year and latitude of a burst are essentially random, we feel reasonably confident that at least our ozone results (as well as DNA damage results, which depend on ozone levels) may be applied to eras when the continental configuration differs from today. The random variability of burst latitude and season will overwhelm any systematic error due to geography.

Differences in atmospheric composition over the last billion years could present a problem for applying our results. Oxygen levels are the most important factor for our modeling since significant  $O_2$  must be present in the atmosphere in order to have an ozone shield. The oxygen level over the last billion years was generally close to today, except during the Permian period, and a significant level of ozone should have been in place over this time span as well (Berner et al. 2003; Graedel & Crutzen 1993). Carbon dioxide levels have varied more greatly and were probably higher at earlier times (Kasting 1993; Berner & Kothavala 2001), but this is not an important factor for our results.

Differences in temperatures between today and other eras may introduce more serious problems for applying our modeling. Differences in atmospheric temperatures at different latitudes affect transport and so may change how quickly and to what extent NO<sub>y</sub> compounds produced by the burst are transported from the latitude over which the burst occurs to other locations. This effect would depend especially on temperature differences between the poles and tropics, as this affects transport via the "cells" of wind flow in the atmosphere. We view our modeling as a "best approximation" in this regard, especially since determining atmospheric temperature profiles for past eras is difficult or impossible.

#### 5. DISCUSSION

It has been suggested (Melott et al. 2004) that a GRB may have initiated the second largest mass extinction in the Earth's history, that of the Late Ordovician period (approximately 443 Myr ago). Patterns of extinction at this time are compatible with heightened solar UV radiation. Such patterns include dependence on how deep an organism lived in the water column and how long an organism spent in a surface-dwelling planktonic larvae state (Chatterton & Speyer 1989; Brenchley et al. 1995, 2003; Sheehan 2001). In addition, surviving fauna that repopulated the globe after the extinction event appear to have come from areas of deeper water and higher latitude (Melchin & Mitchell 1991; Sheehan 2001; Jablonski 2004). Our DNA damage results (§ 3.5) indicate that a GRB would most likely affect mid- to low latitudes and would tend to leave survivors preferentially at higher latitudes.

The Ordovician extinction is associated with a glaciation event, surrounded by periods of stable warm climate. Paleoclimate models (Herrman et al. 2003, 2004) have indicated that glaciation at this time is not likely to have occurred without some external forcing mechanism. Given that even a few tenths of a percent decrease in sunlight reaching the Earth's surface can have an effect on global temperatures (Hoyt & Schatten 1993), it seems likely

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that the increase in  $NO_2$  due to a GRB (§ 3.6.1) could have provided the necessary perturbation to trigger this climate change.

From our nitrate rainout results (§ 3.6.2) we conclude that a burst could supplement usual sources of nitrate and give a modest boost to the transition of plants to land (Gensel & Edwards 2001), which was just beginning at the time of the Late Ordovician. Biota are extremely responsive to increased nitrate flux (Strong et al. 1996; Schlesinger 1997; Shi et al. 2005). Increased productivity is indicated by isotopic excursions in  $\delta^{13}$ C at the late Ordovician extinction, coincident with  $\delta^{18}$ O indicating lower temperatures (Patzkowsky et al. 1997; Sheehan 2001; Brenchley et al. 2003; Pancost et al. 2003). Increased productivity could lower atmospheric CO<sub>2</sub>, providing further positive feedback toward glaciation.

While nitrate deposition could aid land plants, it might at the same time provide further stress to some aquatic animals, especially in combination with increased UVB flux. Hatch & Blaustein (2000) found significantly reduced survival and activity levels of larval frogs in the presence of a combination of increased UVB, nitrate, and low pH. This combination of stressors could occur, at least temporarily, in shallow water environments in the months following a burst, contributing to initiation of the mass extinction.

We have considered biological effects in the context of mass extinction. However, less energetic bursts (or those at greater distances) could also have an interesting impact on mutation rates and thereby on evolution. Scalo & Wheeler (2002) have considered this effect due to the prompt flash of redistributed UV at the surface. Our DNA damage results indicate that effects due to increased solar UVB may be just as important. This effect may actually be more important, since the duration is much longer (months vs. seconds).

We have not considered the effects of any cosmic rays that may accompany a GRB. These would have further effects on atmospheric chemistry (including ozone depletion), would produce radioactive nuclides, and would also have more direct effects on life through the production of showers of penetrating muons (e.g., Thorsett 1995; Dar et al. 1998).

We are currently conducting further studies of the effects of including the GRB afterglow and varying the spectral parameters used. A related question is the importance of X-ray-rich GRBs ( $E_0 \sim 10 \text{ keV}$ ) and X-ray flashes ( $E_0 \sim 1 \text{ keV}$ ; see, e.g., Zhang et al. 2004; Sakamoto et al. 2005; Butler et al. 2005). It is likely that these are GRBs observed slightly off-axis. Their galactic population may be larger than that of GRBs, but they are less luminous. Hence, these events may present yet another source of astrobiologically interesting radiation on a scale similar to GRBs and SNe.

As our results indicate, a GRB within 2 kpc of the Earth could cause significant damage to the biosphere through ozone depletion and may have effects on climate through the opacity of NO<sub>2</sub>. The "shot" of nitrates that would likely be deposited after a burst could both aid land plants in gaining ground and possibly add additional stress to aquatic organisms. Such a burst is likely to have occurred within the last billion years and therefore should be considered, along with SNe, impacts, and other events, as contributors to the development of life (through both extinctions and enhancements of the mutation rate), both on Earth and on other Earth-like planets that may exist elsewhere.

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This work has been submitted by B. C. T. in partial fulfillment of the requirements for the Ph.D. at the University of Kansas.

## APPENDIX

## DESCRIPTION OF ATMOSPHERIC MODEL

For this study we have utilized the NASA GSFC two-dimensional photochemical transport model, created and updated by Charles Jackman, Anne Douglass, Richard Stolarski, Eric Fleming, and David Considine. The model has been described in several publications, including Douglass et al. (1989), Jackman et al. (1990), Considine et al. (1994), Jackman et al. (1996), Fleming et al. (1999), and Jackman et al. (2001). The following description draws on these articles, as well as an unpublished overview of the model by F. Vitt (1994, unpublished).

The model contains 65 chemical species (see Table 6). A "family" approach is used for the transport of most species. Families include, for instance,  $O_x$  [ $O_3$ ,  $O_4$ ,  $O_5$ ],  $O_5$ ,  $O_6$ ,  $O_7$ ,  $O_8$ ,  $O_8$ ,  $O_9$ 

Listed in Table 8 are lower (i.e., ground level) boundary conditions for the transported species in the model. Species that are solely anthropogenic have been set to zero (both boundary conditions and number densities at all altitudes and latitudes). These include CFC-11, CFC-12, CFC-22, CFC-113, CFC-114, and CFC-115; Halon-1211, Halon-1301, and Halon-2402; and HCFC-141b, HCFC-142b, and HCFC-123. This was done because we are primarily interested in applying our results to preindustrial time periods.

Some species included have natural as well as anthropogenic sources (such as N<sub>2</sub>O, CO<sub>2</sub>, CH<sub>4</sub>, CH<sub>3</sub>Cl, and CH<sub>3</sub>Br). We have set these to preindustrial values (see Table 8). These compounds, especially CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O, have probably varied by as much as an order of magnitude or more over the last billion years, with CO<sub>2</sub> generally decreasing, CH<sub>4</sub> fluctuating, and N<sub>2</sub>O increasing (see Kasting 1993; Berner & Kothavala 2001). The oxygen level over the last billion years was generally close to today, except during the

TABLE 6
SPECIES INCLUDED IN THE MODEL

		<u> </u>	
Number	Species	Number	Species
1	O( <sup>3</sup> P)	34	HO <sub>2</sub> NO <sub>2</sub>
2	$O(^1D)$	35	$Cl_{\nu}$
3	$O_2$	36	CFCl <sub>3</sub>
4	$O_3$	37	$CF_2Cl_2$
5	NO	38	$CCl_4$
6	$NO_2$	39	CH <sub>3</sub> Cl
7	$NO_3$	40	Liquid H <sub>2</sub> O
8	$N_2O_5$	41	$O_x$
9	N	42	CH <sub>3</sub> CCl <sub>3</sub>
10	$N_2$	43	$O_2(^1\Delta)$
11	$HNO_3$	44	$N(^2D)$
12	$N_2O$	45	$CO_2$
13	Н	46	BrO
14	ОН	47	Br
15	$HO_2$	48	HBr
16	$H_2O$	49	$BrONO_2$
17	$H_2O_2$	50	$\mathrm{Br}_{\scriptscriptstyle \chi}$
18	HC1	51	$CH_3Br$
19	$H_2$	52	CBrF <sub>3</sub>
20	$CH_4$	53	CBrClF <sub>2</sub>
21	CO	54	CHClF <sub>2</sub>
22	$CH_3$	55	$C_2Cl_3F_3$
23	$CH_3O_2$	56	$C_2Cl_2F_4$
24	CH <sub>3</sub> O	57	$C_2ClF_5$
25	$H_2CO$	58	HF
26	HCO	59	CCIFO
27	HOC1	60	CF <sub>2</sub> O
28	CH <sub>3</sub> OOH	61	BrCl
29	C1	62	$Cl_2O_2$
30	ClO	63	$ClO_x$
31	HC1	64	CIONO
32	ClONO <sub>2</sub>	65	$Cl_2$
33	$NO_y$		

TABLE 7
TRANSPORTED SPECIES AND FAMILIES

Number	Species or Family	Number	Species or Family
1	$O_x$	20	C <sub>2</sub> ClF <sub>5</sub>
2	$NO_{\nu}$	21	$CBrClF_2$
3	$Cl_{\nu}$	22	$CBrF_3$
4	$N_2O$	23	HF
5	CFCl <sub>3</sub>	24	CCIFO
6	CF <sub>2</sub> Cl <sub>2</sub>	25	CF <sub>2</sub> O
7	CCl <sub>4</sub>	26	ClONO <sub>2</sub>
8	CH <sub>3</sub> Cl	27	$N_2O_5$
9	$\mathrm{CH_4}$	28	$N_2O$
10	$H_2$	29	HNO <sub>3</sub> (solid)
11	co	30	H <sub>2</sub> O (solid)
12	$CH_{r}$	31	CO <sub>2</sub>
13	CH <sub>3</sub> CCl <sub>3</sub>	32	CH <sub>3</sub> CCl <sub>2</sub> F
14	HNO <sub>3</sub>	33	CH <sub>3</sub> CClF <sub>2</sub>
15	$\mathrm{Br}_{v}$	34	CHCl <sub>2</sub> CF <sub>3</sub>
16	CH <sub>3</sub> Br	35	$C_2Br_2F_4$
17	CHClF <sub>2</sub>	36	$H_2O_2$
18	$C_2Cl_3F_3$	37	HO
19	$C_2Cl_2F_4$		*

Note.—Families are those with "x" or "y" subscript.

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TABLE 8

LOWER BOUNDARY CONDITIONS FOR TRANSPORTED SPECIES

Boundary Condition Type	Species	Value
Mixing ratio	N <sub>2</sub> O	270 ppbv
-	$CH_4$	700 ppbv
	$CO_2$	280 ppmv
	CO	100 ppbv
	$CH_3Br$	7.0 pptv
	CH <sub>3</sub> Cl	483 pptv
	$H_2$	500 ppbv
	$NO_y - HNO_3$	105 pptv
	$CCl_4$	0.0
	CH <sub>3</sub> CCl <sub>3</sub>	0.0
Flux (photons cm <sup>-2</sup> s <sup>-1</sup> )	$Cl_{\nu}$	0.0
	$\mathrm{Br}_y$	0.0
	HF	0.0
	CCIFO	0.0
	CF <sub>2</sub> O	0.0
	CH <sub>3</sub> OOH	0.0
Deposition velocity (cm s <sup>-1</sup> )	$O_x$	0.1

Permian period, and a significant level of ozone should have been in place over this time span as well (Berner et al. 2003; Graedel & Crutzen 1993)

## A1. SPECIES CONTINUITY EQUATION

The main calculation of the model is the solution of the longitudinally averaged species continuity equation:

$$\frac{\partial n_i}{\partial t} = -\mathbf{v} \cdot \nabla n_i - D(n_i) + S(n_i), \tag{A1}$$

where  $n_i$  is the species concentration (number density) and v is the wind velocity vector. The first term on the right-hand side is advection by circulation.  $D(n_i)$  is the diffusion term, representing small-scale mixing and also effects of planetary and gravity waves.  $S(n_i)$  is the photochemical source term, which is equal to the difference in production and loss terms and is dependent on reaction rate constants, photolysis rates, and other species concentrations.

Under the assumption that changes in species concentration for one time step are small, the photochemical terms in the continuity equation can be evaluated using the previous time step's values. The species continuity equations are solved by a process splitting method. That is, it is assumed that the continuity equation can be separated into a product of operators (McRae et al. 1982). It is assumed that advection of species can be separated from photochemistry and diffusion. Here advection operates on the concentration field before diffusion and photochemistry.

The source term,  $S(n_i)$ , is written as

$$S(n_i) = P - L(n_i), \tag{A2}$$

where P is the sum of all production sources for species i and L is the loss frequency. For species in which the loss process is not linear, L is represented by a Taylor series. The continuity equation (A1) then is written as a finite difference equation:

$$\frac{n^{t} - n^{t-1}}{\Delta t} = -\frac{\tilde{n} - n^{t-1}}{\Delta t} + P - L(\tilde{n}) + \tilde{n} \frac{\partial L}{\partial n} \bigg|_{n=\tilde{n}} - n^{t} \frac{\partial L}{\partial n} \bigg|_{n=\tilde{n}} - D(\tilde{n}), \tag{A3}$$

where the subscript i has been dropped and  $n^t$  is the result,  $n^{t-1}$  is the initial condition (from the previous time step), and  $\tilde{n}$  is the advected field.

## A2. TRANSPORT

The advection scheme includes vertical and meridional (horizontal, north-south) winds. These winds are climatological in nature and based on empirical data sets. The primary components in deriving the winds are temperature data from the National Centers for Environmental Prediction (NCEP) and heating rates from climatological distributions of temperature, ozone, and water vapor. Temperatures and heating rates are updated daily in the model and winds are calculated at that time.

The advection scheme is described in detail in Fleming et al. (1999). It is mass conserving and uses an upstream piecewise parabolic method of solution (Colella & Woodward 1984; Carpenter et al. 1990). A time step of 12 hr is used for advection (while the time step for constituent changes due to photochemistry is 1 day). The coefficients of the stream function equation depend on zonal mean

Reaction	Rate Constant
$O + O_3 \rightarrow O_2 + O_2$	$k_1 = 8.0(-12) \exp(-2060/T)$
$OH + O_3 \rightarrow HO_2 + O_2$	$k_2 = 1.5(-12) \exp(-880/T)$
$HO_2 + O_3 \rightarrow OH + 2O_2$	$k_3 = 2.0(-14) \exp(-680/T)$
$ClO + HO_2 \rightarrow HOCl + O_2$	$k_4 = 4.8(-13) \exp(700/T)$
$Cl + H_2O_2 \rightarrow HCl + HO_2$	$k_5 = 1.1(-11) \exp(-980/T)$
$O(^{1}D) + M \rightarrow O(^{3}P) + M$	$k_6 = 0.78[1.8(-11) \exp(110/T)] + 0.21[3.2(-11) \exp(70/T)]$
$NO + O_3 \rightarrow NO_2 + O_2$	$k_7 = 3.0(-12) \exp(-1500/T)$
$NO_2 + O_3 \rightarrow NO_3 + O_2$	$k_8 = 1.2(-13) \exp(-2450/T)$
$H + O_3 \rightarrow OH + O_2$	$k_9 = 1.4(-10) \exp(-470/T)$
$OH + CIONO_2 \rightarrow HOCl + NO_3$	$k_{10} = 1.2(-12) \exp(-330/T)$
$CH_4 + OH \rightarrow CH_3 + H_2O$	$k_{11} = 2.45(-12) \exp(-1775/T)$
$CH_3O_2 + NO \rightarrow CH_3O + NO_2$	$k_{12} = 3.0(-12) \exp(280/T)$
$OH + CH_3CI \rightarrow H_2O + CH_2CI$ $CH_3O + O_2 \rightarrow CH_2O + HO_2$	$k_{13} = 4.0(-12) \exp(-1400/T)$
$HO_2 + HO_2 \rightarrow H_2O_2 + O_2$	$k_{14} = 3.9(-14) \exp(-900/T)$ $k_{15} = 2.3(-13) \exp(600/T)$
$N + O_2 \rightarrow NO + O.$	$k_{16} = 2.5(-13) \exp(3600/T)$ $k_{16} = 1.5(-11) \exp(-3600/T)$
$CH_2O + O \rightarrow HCO + OH$	$k_{17} = 3.4(-11) \exp(-1600/T)$
$CH_3O_2 + HO_2 \rightarrow CH_3OOH + O_2$	$k_{18} = 3.8(-13) \exp(800/T)$
$Cl + H_2 \rightarrow HCl + H$	$k_{18} = 3.6(-13) \exp(600/T)$ $k_{19} = 3.7(-11) \exp(-2300/T)$
$Cl + O_3 \rightarrow ClO + O_2$	$k_{20} = 2.3(-11) \exp(-200/T)$
$ClO + O \rightarrow Cl + O_2$	$k_{21} = 3.0(-11) \exp(-70/T)$
$Cl + CH_4 \rightarrow HCl + CH_3$	$k_{22} = 9.6(-12) \exp(-1360/T)$
$HCl + OH \rightarrow Cl + H_2O$	$k_{23} = 2.6(-12) \exp(-350/T)$
$ClO + NO \rightarrow Cl + NO_2$	$k_{24} = 6.4(-12) \exp(290/T)$
$OH + H_2O_2 \rightarrow H_2O + HO_2$	$k_{25} = 2.9(-12) \exp(-160/T)$
$H_2 + OH \rightarrow H_2O + H$	$k_{26} = 5.5(-12) \exp(-2000/T)$
$N_2O_5 + M \rightarrow NO_2 + NO_3 + M$	$k_{27} = 2.7(-27) \exp(-11,000/T)$
$O + H_2O_2 \rightarrow OH + HO_2$	$k_{28} = 1.4(-12) \exp(-2000/T)$
$O + ClONO_2 \rightarrow ClO + NO_3$	$k_{29} = 2.9(-12) \exp(-800/T)$
$CO + OH \rightarrow CO_2 + H$	$k_{30} = 1.5(-13)$
$HNO_3 + OH \rightarrow NO_3 + H_20$	$k_{31} = 7.2(-15) \exp(-785/T) + (N_d 1.9(-33) \exp(-725/T)/\{1 + (1.9(-33) \exp(-725/T)/(1.9(-33)) \exp(-725/T)/(1.9(-33))\}$
NO - HO OH - NO	$N_d 1.9(-33) \exp(-725/T)/[4.1(-16) \exp(-1440/T)]\}$
$NO + HO_2 \rightarrow OH + NO_2$	$k_{32} = 3.5(-12) \exp(250/T)$
$H_2O + O(^1D) \rightarrow OH + OH \dots$	$k_{33} = 2.2(-10)$
$OH + HO_2 \rightarrow H_2O + O_2$	$k_{34} = 4.8(-11) \exp(250/T)$ $k_{35} = 2.2(-11) \exp(120/T)$
$HO_2 + O \rightarrow OH + O_2$	$k_{36} = 3.0(-11) \exp(120/T)$ $k_{36} = 3.0(-11) \exp(200/T)$
$NO_2 + O \rightarrow NO + O_2$	$k_{36} = 5.6(-11) \exp(20017)$ $k_{37} = 5.6(-12) \exp(180/T)$
$N_2O + O(^1D) \rightarrow NO + NO$	$k_{38} = 6.7(-11)$
$N + NO \rightarrow N_2 + O$	$k_{39} = 2.1(-11) \exp(100/T)$
$H_2 + O(^1D) \rightarrow OH + H$	$k_{40} = 1.1(-10)$
$CH_4 + O(^1D) \rightarrow CH_3 + OH$	$k_{41} = 1.12(-10)$
$H_2CO + OH \rightarrow H_2O + HCO$	$k_{42} = 1.0(-11)$
$HCO + O_2 \rightarrow CO + HO_2$	$k_{43} = 3.5(-12) \exp(140/T)$
$Cl + HO_2 \rightarrow HCl + O_2$	$k_{44} = 1.8(-11) \exp(170/T)$
$CCl_4 + O(^1D) \rightarrow 4Cl + Prods \dots$	$k_{45} = 3.3(-10)$
$OH + HO_2NO_2 \rightarrow H_2O + O_2 + NO_2$	$k_{46} = 1.3(-12) \exp(380/T)$
$CH_4 + O(^1D) \to H_2 + H_2CO$	$k_{47} = 8.0(-12)$
$OH + CH3OOH \rightarrow H2O + CH3O2$	$k_{48} = 3.8(-12) \exp(200/T)$
$OH + OH \rightarrow H_2O + O$	$k_{49} = 4.2(-12) \exp(-240/T)$
$CIO + OH \rightarrow CI + HO_2$	$k_{50} = 7.4(-12) \exp(270/T)$
$ClO + OH \rightarrow HCl + O_2$	$k_{51} = 3.2(-13) \exp(320/T)$
$HOCl + OH \rightarrow H_2O + ClO \dots$	$k_{52} = 3.0(-12) \exp(-500/T)$
$Cl + H_2CO \rightarrow HCl + HCO$	$k_{53} = 8.1(-11) \exp(-30/T)$
$HO_2 + HO_2 + M \rightarrow H_2O_2 + O_2 + M$ $CFCIO + O(^1D) \rightarrow Prods$	$k_{54} = 1.7(-33) \exp(1000/T)$ $k_{55} = 1.9(-10)$
$CF_2O + O(^1D) \rightarrow Prods$	$k_{55} = 1.9(-10)$ $k_{56} = 7.4(-11)$
$CI + HO_2 \rightarrow OH + CIO$	$k_{56} = 7.4(-11)$ $k_{57} = 4.1(-11) \exp(-450/T)$
$N + OH \rightarrow NO + H$	$k_{57} = 4.1(-11) \exp(-450/1)$ $k_{58} = 5.0(-11)$
$R + OH \rightarrow RO + H$	$k_{58} = 3.0(-11)$ $k_{59} = 8.8(-12) \exp(260/T)$
$HO_2NO_2 + M \rightarrow HO_2 + NO_2 + M$	$k_{60} = 3.0(-12) \exp(200/T)$ $k_{60} = 2.1(-27) \exp(-10,900/T)$
$H + HO_2 \rightarrow H_2 + O_2$	$k_{61} = 1.06(-11)$
$H + HO_2 \rightarrow H_2O + O$	$k_{62} = 1.60(-12)$
$H + HO_2 \rightarrow OH + OH.$	$k_{63} = 6.88(-11)$
$NO + NO_3 \rightarrow NO_2 + NO_2$	$k_{64} = 1.5(-11) \exp(170/T)$

TABLE 9—Continued

Reaction	Rate Constant
$OH + CH3CCl3 \rightarrow 3(Cl)$	$k_{65} = 1.8(-12) \exp(-1550/T)$
$N(^{2}D) + O_{2} \rightarrow NO + O$	$k_{66} = 5.0(-11)$
$N_2O + O(^1D) \rightarrow N_2 + O_2$	$k_{67} = 4.9(-11)$
$O(^{1}D) + CF_{2}Cl_{2} \rightarrow ClO + Cl + Prods$	$k_{68} = 1.4(-10)$
$N + NO_2 \rightarrow N_2O + O$	$k_{69} = 5.8(-12) \exp(220/T)$
$O(^{1}D) + CFCl_{3} \rightarrow ClO + 2Cl + Prods$	$k_{70} = 2.3(-10)$
$O(^1D) + DECAY \rightarrow O + h\nu$	$k_{71} = 6.8(-09)$
$O_2(^1\Delta) + DECAY \rightarrow O_2 + h\nu$	$k_{72} = 2.6(-10)$
$N(^2D) + O \rightarrow N + O$	$k_{73} = 1.4(-10)$
$O_2(^1\Delta) + O_2 \to O_2 + O_2$	$k_{74} = 3.6(-18) \exp(-220/T)$
$O_2(^1\Delta) + O_3 \rightarrow O_2 + O_2 + O_3$	$k_{75} = 5.2(-11) \exp(-2840/T)$
$O_2(^1\Delta) + NO \rightarrow O_2 + NO$	$k_{76} = 4.5(-17)$
$O + O + M \rightarrow O_2 + M$	$k_{77} = 1.4(-33) \exp(408/T)$
$Br + O_3 \rightarrow BrO + O_2$	$k_{78} = 1.7(-11) \exp(-800/T)$
$Br + HO_2 \rightarrow HBr + O_2$	$k_{79} = 1.5(-11) \exp(-600/T)$
$BrO + ClO \rightarrow Br + ClOO$	$k_{80} = 2.3(-12) \exp(260/T)$
$BrO + BrO \rightarrow Br + Br + O_2$	$k_{81} = 1.5(-12) \exp(230/T)$
$OH + HBr \rightarrow H_2O + Br \dots$	$k_{82} = 1.1(-11)$
$CH_3Br + OH \rightarrow Br + Prods$	$k_{83} = 4.0(-12) \exp(-1470/T)$
$CHClF_2 + OH \rightarrow Cl + 2F + Prods$	$k_{84} = 1.0(-12) \exp(-1600/T)$
$C_2Cl_3F_3 + O(^1D) \rightarrow 3Cl + 3F + Prods$	$k_{85} = 2.00(-10)$
$C_2Cl_2F_4 + O(^1D) \rightarrow 2Cl + 4F + Prods$	$k_{86} = 1.00(-10)$
$C_2ClF_5 + O(^1D) \rightarrow Cl + 5F + Prods$	$k_{87} = 5.00(-11)$
$BrO + ClO \rightarrow Br + OClO$	$k_{88} = 9.5(-13) \exp(550/T)$
$BrO + ClO \rightarrow BrCl + O_2$	$k_{89} = 4.1(-13) \exp(290/T)$
$Cl_2O_2 + M \rightarrow ClO + ClO$	$k_{90} = 1.3(-27) \exp(-8744/T)$
$BrO + O \rightarrow Br + O_2$	$k_{91} = 1.9(-11) \exp(230/T)$
$BrO + HO_2 \rightarrow HOBr + O_2 \dots$	$k_{92} = 3.4(-12) \exp(540/T)$
$Br + CH_2O \rightarrow HBr + CHO$	$k_{93} = 3.4(-12) \exp(340/T)$ $k_{93} = 1.7(-11) \exp(-800/T)$
$CH_4 + O(^1D) \rightarrow H + CH_3O \dots$	$k_{94} = 3.0(-11)$
$O(^1D) + CClBrF_2 \rightarrow Prods$	$k_{95} = 1.5(-10)$
$O(^1D) + CBrF_3 \rightarrow Prods$	$k_{96} = 1.0(-10)$
$O(^{1}D) + CH_{3}CCl_{2}F \rightarrow Prods.$	$k_{97} = 2.6(-10)$
$OH + CH_3CCl_2F \rightarrow Prods + H_2O$	$k_{98} = 1.7(-12) \exp(-1700/T)$
$C1 + CH_3CC1_2F \rightarrow Prods + HC1$	$k_{99} = 1.8(-12) \exp(-1700/T)$
$O(^1D) + CH_3CF_2CI \rightarrow Prods.$	$k_{100} = 2.2(-10)$
$OH + CH_3CF_2CI \rightarrow Prods + H_2O$	$k_{101} = 1.3(-12) \exp(-1800/T)$
$C1 + CH_3CF_2C1 \rightarrow Prods + HC1$	$k_{101} = 1.3(-12) \exp(-1600/T)$ $k_{102} = 1.4(-12) \exp(-2420/T)$
$O(^{1}D) + CHCl_{2}CF_{3} \rightarrow Prods$	$k_{103} = 2.0(-10)$
$OH + CHCl_2CF_3 \rightarrow Prods + H_2O$	$k_{104} = 7.0(-13) \exp(-900/T)$
$Cl + CHCl_2CF_3 \rightarrow Prods + HCl$	$k_{105} = 4.4(-12) \exp(-1750/T)$
$O(^1D) + C_2Br_2F_4 \rightarrow Prods$	$k_{106} = 1.6(-10)$
$O(^{1}D) + Cl_{3}Br \rightarrow Br + Cl_{3}O$	$k_{107} = 1.8(-10)$
$O(D) + CH_3BI \rightarrow BI + CH_3O$ O(1D) + CHCIF <sub>2</sub> $\rightarrow$ Cl + CF <sub>2</sub> O + H	$k_{100} = 1.0(-10)$ $k_{108} = 1.0(-10)$
$O + CH3 \rightarrow CH2O + H$	$k_{109} = 1.0(-10)$ $k_{109} = 1.1(-10)$
$O_3 + CH_3 \rightarrow CH_2O + H$	$k_{110} = 5.4(-12) \exp(-220/T)$
$CH_3O_2 + CH_3O_2 \rightarrow 2CH_3O + O_2$	$k_{110} = 3.4(-12) \exp(-22011)$ $k_{111} = 2.5(-13) \exp(190/T)$
$CFCl_3 + OH \rightarrow 3Cl + HF + CO \dots$	$k_{111} = 2.5(-13) \exp(170/T)$ $k_{112} = 1.0(-12) \exp(-3700/T)$
$CF_2Cl_2 + OH \rightarrow Cl + HF + CCIFO$	$k_{112} = 1.0(-12) \exp(-3700/T)$ $k_{113} = 1.0(-12) \exp(-3600/T)$
$CH_3CI + CI \rightarrow 2CI + CH_3$	$k_{113} = 1.0(-12) \exp(-3000/T)$ $k_{114} = 3.2(-11) \exp(-1250/T)$

Notes.—Read "Prods" as "products." Read 1.0(-10) as  $1.0 \times 10^{-10}$ .  $M = N_2$ ,  $O_2$  (arbitrary third body).  $N_d$  is the total number density at a particular grid point.

temperature, which is based on the 17 yr average (1979–1995) NCEP temperature data for the troposphere and stratosphere and the CIRA-86 empirical reference model for the mesosphere above 1 hPa (about 47 km altitude; Fleming et al. 1990). Outside the tropics, winds are derived from temperature using the gradient wind relation with measurements from the *Upper Atmosphere Research Satellite* (UARS) for tropical zonal winds ( $S20^{\circ}-N20^{\circ}$ ). Small-scale horizontal mixing (eddy diffusion) coefficients are calculated from mechanical forcing due to gravity waves in the mesosphere and upper stratosphere and from the vertical temperature gradient in the troposphere and lower stratosphere.

# A3. PHOTOLYSIS AND CHEMICAL REACTIONS

The model's binary and tertiary gas-phase—only reactions are listed in Tables 9 and 10. Photolysis reactions are listed in Table 11. Rates and photolysis cross sections are taken from the Jet Propulsion Laboratory (JPL) 2000 recommendations (Sander et al. 2000).

 $\begin{tabular}{ll} TABLE~10\\ Tertiary~Reactions~and~Rate~Constants \end{tabular}$ 

Reaction	$k_0^{300}$	n	$k_{ m inf}^{300}$	m
$O + O_2 + M \rightarrow O_3 + M$	6.0(-34)	2.3	0.0	0.0
$H + O_2 + M \rightarrow HO_2 + M$	5.7(-32)	1.6	7.5E-11	0.0
$OH + OH + M \rightarrow H_2O_2 + M$	6.2(-31)	1.0	2.6E-11	0.0
$OH + NO_2 + M \rightarrow HNO_3 + M$	2.5(-30)	4.4	1.6E-11	1.7
$CIO + NO_2 + M \rightarrow CIONO_2 + M$	1.8(-31)	3.4	1.5E-11	1.9
$HO_2 + NO_2 + M \rightarrow HO_2NO_2 + M$	1.8(-31)	3.2	4.7E - 12	1.4
$NO_2 + O + M \rightarrow NO_3 + M$	9.0(-32)	2.0	2.2E-11	0.0
$NO_2 + NO_3 + M \rightarrow N_2O_5 + M$	2.2(-30)	3.9	1.5E-12	0.7
$CH_3 + O_2 + M \rightarrow CH_3O_2 + M$	4.5(-31)	3.0	1.8E-12	1.7
$NO + O + M \rightarrow NO_2 + M$	9.0(-32)	1.5	3.0E-11	0.0
$O(^{1}D) + N_{2} + M \rightarrow N_{2}O + M$	3.5(-37)	0.6	0.0	0.0
$BrO + NO_2 + M \rightarrow BrONO_2 + M$	5.2(-31)	3.2	6.9E - 12	2.9
$ClO + ClO + M \rightarrow Cl_2O_2 + M$	2.2(-32)	3.1	3.5E-12	1.0
$CO + O + M \rightarrow CO_2 + M$	2.0(-37)	0.0	0.0	0.0

Notes.—Read 1.0(-10) as  $1.0\times 10^{-10}$ .  $M=N_2$ ,  $O_2$  (arbitrary third body). Rate constants are given by  $k=[k_0(T)]/[1+k_0(T)(M)/k_\infty(T)]0.6^{(1+\{\log_{10}[k_0(T)(M)/k_\infty(T)]\}^2)^{-1}}$ . Low-pressure limit:  $k_0(T)=k_0^{300}(T/300)^{-n}(\mathrm{cm}^6\ \mathrm{s}^{-1})$ . High-pressure limit:  $k_\infty(T)=k_\infty^{300}(T/300)^{-m}(\mathrm{cm}^3\ \mathrm{s}^{-1})$ .

Reaction rates are updated daily along with temperatures. There are 39 wavelength intervals used in the radiative transfer scheme. Multiple scattering is applied and daytime-averaged photolysis rates are computed every 10 days using a two-stream radiative transfer method (Herman 1979). Solar photon fluxes for the wavelength intervals at the top of the atmosphere are taken from measurements made by the Solar Stellar Irradiance Comparison Experiment (SOLSTICE) and Solar Spectra Irradiance Monitor (SUSIM) instruments on the *UARS* (Jackman et al. 1996) and are reproduced here in Table 12.

In the long-term version of the model (where daily averages are used), diurnal behavior of individual species must be taken into account to calculate photochemical production and loss. Species that disappear quickly after sunset, such as  $O(^3P)$ ,  $O(^1D)$ , N, NO, Cl, H, OH, and HO<sub>2</sub>, are assumed to be zero at night. Daytime average values of NO<sub>2</sub> and ClO are needed to calculate odd oxygen loss, and these values depend on the concentrations of N<sub>2</sub>O<sub>5</sub> and ClONO<sub>2</sub> that build up at night and decrease during the day. Sunset values of these are calculated from daytime average values, and nighttime production at the expense of NO<sub>2</sub> is calculated at each grid point for each time step.

Due to the importance of diurnal behavior and the short duration of a GRB, we have used the short-term version of the atmospheric model (with time step 1 s) to compute the chemistry for several days around the time of the burst. Diurnal behavior is important primarily because several constituents important for our study are strongly affected by photolysis (see § 3.1 for more discussion of this).

TABLE 11
PHOTODISSOCIATIONS

	Reaction	
$O_2 \rightarrow O + O$	$HO_2NO_2 \rightarrow OH + NO_3$	$HO_2 \rightarrow O + OH$
$O_3 \rightarrow O_2(^1\Delta) + O(^1D)$	$H_2O \rightarrow H_2 + O(^1D)$	$CH_3CFCl_2 \rightarrow 2Cl + F + Fragment$
$O_3 \rightarrow O_2 + O$	$CH_3CCl_3 \rightarrow 3Cl + Fragment$	$CH_3CF_2Cl \rightarrow Cl + 2F + Fragment$
$H_2O \rightarrow H + OH$	$BrO \rightarrow Br + O$	$CF_3CHCl_2 \rightarrow 2Cl + 3F + Fragment$
$NO_3 \rightarrow NO_2 + O$	$BrONO_2 \rightarrow Br + NO_3$	$CF_3CHFCl \rightarrow 4F + Cl + Fragment$
$HNO_3 \rightarrow OH + NO_2$	$CH_3Br \rightarrow CH_3 + Br$	$CF_3CF_2CHCl_2 \rightarrow 5F + 2Cl + Fragment$
$NO_2 \rightarrow NO + O$	$CF_3Br \rightarrow Br + 3F + Fragment$	$CF_2ClCF_2CHFCl \rightarrow 5F + 2Cl + Fragment$
$H_2O_2 \rightarrow OH + OH$	$CF_2ClBr \rightarrow Br + Cl + 2F + Fragment$	$CF_2Br_2 \rightarrow 2Br + 2F + Fragment$
$N_2O_5 \rightarrow NO_2 + NO_3$	$CHClF_2 \rightarrow Cl + 2F + Fragment$	$CF_2BrCF_2Br \rightarrow 3Br + 3F + Fragment$
$H_2CO \rightarrow HCO + H$	$C_2Cl_3F_3 \rightarrow 3Cl + 3F + Fragment$	$CHBr_3 \rightarrow 3Br + Fragment$
$H_2CO \rightarrow H_2 + CO$	$C_2Cl_2F_4 \rightarrow 2Cl + 4F + Fragment$	$CCl_2O \rightarrow 2Cl + Fragment$
$CO_2 \rightarrow CO + O$	$C_2ClF_5 \rightarrow Cl + 5F + Fragment$	$CIONO_2 \rightarrow CIO + NO_2$
$CH_3OOH \rightarrow CH_3O + OH$	$Cl_2O_2 \rightarrow Cl + OClO$	$CH_4 \rightarrow CH_3 + H$
$N_2O \rightarrow N_2 + O$	$OClO \rightarrow Cl + O_2$	$CH_4 \rightarrow CH_2 + H_2$
$ClONO_2 \rightarrow Cl + NO_3$	$Cl_2 \rightarrow Cl + Cl$	$CH_4 \rightarrow CH + H + H_2$
$NO \rightarrow N + O$	$CIONO \rightarrow CIO + NO$	$HOBr \rightarrow HO + Br$
$NO_3 \rightarrow NO + O_2$	$BrCl \rightarrow Br + Cl$	$\text{CH}_3\text{O}_2 \to \text{CH}_3 + \text{O}_2$
$HCl \rightarrow H + Cl$	$CO_2 \rightarrow CO + O(^1D)$	$BrONO_2 \rightarrow BrO + NO_2$
$CCl_4 \rightarrow 4Cl + Fragment$	$HO_2NO_2 \rightarrow HO_2 + NO_2$	$CH_3CFCl_2 \rightarrow CO_2 + CClFO + Cl + H + H_2O$
$CH_3Cl \rightarrow CH_3 + Cl$	$CCIFO \rightarrow Cl + F + Fragment$	$CH_3CF_2Cl \rightarrow CO_2 + CF_2O + Cl + H + H_2O$
$CFCl_3 \rightarrow 3Cl + Fragment$	$CF_2O \rightarrow 2F + Fragment$	$CF_3CHCl_2 \rightarrow CClFO + CF_2O + Cl + H$
$CF_2Cl_2 \rightarrow 2Cl + Fragment$	$N_2O \rightarrow NO + N$	$\mathrm{C_2F_4Br_2} \rightarrow 2\mathrm{CF_2O} + 2\mathrm{Br}$
$HOCl \rightarrow OH + Cl$	$O_2 \rightarrow O + O(^1D)$	

TABLE 12
WAVELENGTH BANDS AND CORRESPONDING SOLAR FLUXES

Number	Band (nm)	Flux (photons cm <sup>-2</sup> s <sup>-1</sup> )	Number	Band (nm)	Flux (photons cm <sup>-2</sup> s <sup>-1</sup> )
1	121.067-122.067	$4.006 \times 10^{11}$	21	210.5-219.8	$3.720 \times 10^{13}$
2	170.0-172.4	$1.764 \times 10^{11}$	22	219.8-229.9	$5.643 \times 10^{13}$
3	172.4-173.9	$1.017 \times 10^{11}$	23	229.9-241.0	$6.210 \times 10^{13}$
4	173.9-175.4	$1.302 \times 10^{11}$	24	241.0-253.2	$8.321 \times 10^{13}$
5	175.4-177.0	$1.722 \times 10^{11}$	25	253.2-266.7	$2.363 \times 10^{14}$
6	177.0-178.6	$2.200 \times 10^{11}$	26	266.7-281.7	$4.169 \times 10^{14}$
7	178.6-180.2	$2.438 \times 10^{11}$	27	281.7-285.7	$1.496 \times 10^{14}$
8	180.2-181.8	$3.215 \times 10^{11}$	28	285.7-298.5	$9.076 \times 10^{14}$
9	181.8-183.5	$3.691 \times 10^{11}$	29	298.5-303.0	$3.217 \times 10^{14}$
10	183.5-185.2	$3.549 \times 10^{11}$	30	303.0-307.7	$4.484 \times 10^{14}$
11	185.2-186.9	$4.190 \times 10^{11}$	31	307.7-312.5	$4.857 \times 10^{14}$
12	186.9-188.7	$5.555 \times 10^{11}$	32	312.5-317.5	$5.491 \times 10^{14}$
13	188.7-190.5	$6.464 \times 10^{11}$	33	317.5-322.5	$6.022 \times 10^{14}$
14	190.5-192.3	$7.342 \times 10^{11}$	34	322.5-337.5	$2.286 \times 10^{15}$
15	192.3-194.2	$7.709 \times 10^{11}$	35	337.5-357.5	$3.333 \times 10^{15}$
16	194.2-196.1	$1.055 \times 10^{12}$	36	357.5-377.5	$3.717 \times 10^{15}$
17	196.1–198.0	$1.189 \times 10^{12}$	37	377.5–397.5	$3.947 \times 10^{15}$
18	198.0-200.0	$1.333 \times 10^{12}$	38	397.5–547.5	$6.724 \times 10^{16}$
19	200.0-202.0	$1.610 \times 10^{12}$	39	547.5-735.0	$9.806 \times 10^{16}$
20	202.0-210.5	$1.195 \times 10^{13}$		2.7.0 755.0	, x 10

Important reactions for our purposes in this work occur on timescales of a few to tens of seconds. For instance, in the stratosphere NO creation  $(N + O_2 \rightarrow NO + O)$  takes about 5 s, NO destruction  $(N + NO \rightarrow N_2 + O)$  takes about 30 s, and  $O_3$  depletion by NO  $(NO + O_3 \rightarrow NO_2 + O_2)$  takes about 85 s. Therefore, our 1 s time step seems adequate to accurately model these reactions around the time of the burst. It should be noted that destruction of NO proceeds faster with increased concentrations of NO, down to a timescale of around 1 s at the maximum values seen in our results. There is hence a feedback between creation and destruction of NO, with more creation leading to faster destruction. This will limit the amount of NO created overall and thereby limit ozone depletion as well. However, normal diurnal variations of NO (and  $NO_2$ , which also acts to deplete ozone) have a strong effect on the total amounts of these compounds that are present at any one time (see § 3.1).

## A4. HETEROGENEOUS PROCESSES AND GALACTIC COSMIC RAYS

Heterogeneous processes that occur on the stratospheric sulfate aerosol (SSA) layer and on PSCs are included as described in Considine et al. (1994). SSAs are primarily liquid droplets of  $H_2SO_4$  and  $H_2O$ . PSCs comprise two classes: type 1 is primarily frozen nitric acid trihydrate (NAT), while type 2 is frozen  $H_2O$ . The formation temperatures of PSCs are low and occur most frequently in the polar regions, while SSAs are more uniformly distributed (with latitude) in the stratosphere. Heterogeneous processes occurring on the surfaces of these particulates (especially PSCs) play an important role in the depletion of ozone and are partly responsible for the preferential depletion in the south polar region where formation of PSCs is more probable. The formation of PSCs depends on the concentrations of  $NO_9$  (particularly HNO<sub>3</sub>) and  $H_2O$ .

In the model, computed concentrations of  $NO_y$  and  $H_2O$  are combined with climatological temperature distributions (obtained from National Meteorological Center data) to calculate the probability of cloud occurrence, the amount of  $H_2O$  and  $HNO_3$  removed from gas phase in producing clouds, and the surface area density of clouds. Surface area density is important in computing rates of reactions that occur on the particulates. It is important to note that this is not a microphysical model of PSCs. While the computed characteristics of PSCs depend on several assumptions, the results are reasonably insensitive to large changes in the assumptions (Considine et al. 1994).

The effects of galactic cosmic rays (GCRs) are also included in the model. Ionization rate profiles produced by GCRs were calculated by Nicolet (1975) for both solar maximum and minimum. Rates in the model for a given year are calculated using the yearly averaged monthly sunspot number by linear interpolation of Nicolet's rates.

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